

# Executive Branch Personnel

## Public Financial Disclosure Report:

### Periodic Transaction Report (OGE Form 278-T)

#### Filer's Information

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Kerry, John

Special Presidential Envoy for Climate, Department of State -- General Filers Only

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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Kerry, John [electronically signed on 04/11/2021 by Kerry, John in Integrity.gov]

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Merron, Eric, Certifying Official [electronically signed on 04/21/2021 by Merron, Eric in Integrity.gov]

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Other review conducted by

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U.S. Office of Government Ethics Certification

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#### Transactions

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	3M CO	Sale	03/11/2021	No	\$15,001 - \$50,000
2	ABBOTT LABORATORIES	Sale	03/11/2021	No	\$15,001 - \$50,000
3	ABBVIE INC.	Sale	03/11/2021	No	\$50,001 - \$100,000
4	ABIOMED INC	Sale	03/11/2021	No	\$1,001 - \$15,000
5	ACADIA HEALTHCARE CO INC	Sale	03/11/2021	No	\$1,001 - \$15,000
6	ACCENTURE PLC-CL A	Sale	03/11/2021	No	\$15,001 - \$50,000
7	ACTIVISION BLIZZARD INC	Sale	03/11/2021	No	\$1,001 - \$15,000
8	ADOBE INC	Sale	03/11/2021	No	\$15,001 - \$50,000
9	ADVANCED MICRO DEVICES INC	Sale	03/11/2021	No	\$15,001 - \$50,000
10	A E S CORP	Sale	03/11/2021	No	\$1,001 - \$15,000
11	AFLAC INC	Sale	03/11/2021	No	\$1,001 - \$15,000
12	AGILENT TECHNOLOGIES INC	Sale	03/11/2021	No	\$15,001 - \$50,000
13	A G N C INVT CORP	Sale	03/11/2021	No	\$1,001 - \$15,000
14	AIR PRODS & CHEMS INC	Sale	03/11/2021	No	\$15,001 - \$50,000
15	ALAMO GROUP INC	Sale	03/11/2021	No	\$1,001 - \$15,000
16	ALEXANDRIA REAL ESTATE EQUITIES INC	Sale	03/11/2021	No	\$1,001 - \$15,000
17	ALEXION PHARMACEUTICALS INC	Sale	03/11/2021	No	\$1,001 - \$15,000
18	ALIGN TECHNOLOGY INC	Sale	03/11/2021	No	\$15,001 - \$50,000
19	ALLEGHANY CORP DEL	Sale	03/11/2021	No	\$1,001 - \$15,000
20	ALLEGION PLC	Sale	03/11/2021	No	\$1,001 - \$15,000
21	ALLETE INC	Sale	03/11/2021	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
22	ALLIANCE DATA SYS CORP	Sale	03/11/2021	No	\$1,001 - \$15,000
23	ALLSTATE CORP	Sale	03/11/2021	No	\$1,001 - \$15,000
24	ALNYLAM PHARMACEUTICALS INC	Sale	03/11/2021	No	\$1,001 - \$15,000
25	ALPHABET INC/CA-CL A	Sale	03/11/2021	No	\$100,001 - \$250,000
26	ALPHABET INC-CL C	Sale	03/11/2021	No	\$50,001 - \$100,000
27	AMAZON.COM INC	Sale	03/11/2021	No	\$250,001 - \$500,000
28	AMDOCS LTD	Sale	03/11/2021	No	\$1,001 - \$15,000
29	AMEDISYS INC	Sale	03/11/2021	No	\$1,001 - \$15,000
30	AMEREN CORPORATION	Sale	03/11/2021	No	\$1,001 - \$15,000
31	AMERICAN ELECTRIC POWER INC	Sale	03/11/2021	No	\$1,001 - \$15,000
32	AMERICAN EXPRESS CO	Sale	03/11/2021	No	\$15,001 - \$50,000
33	AMERICAN FINL GROUP INC OHIO	Sale	03/11/2021	No	\$15,001 - \$50,000
34	AMERICAN TOWER CORP	Sale	03/11/2021	No	\$1,001 - \$15,000
35	AMERIPRISE FINANCIAL INC	Sale	03/11/2021	No	\$1,001 - \$15,000
36	AMETEK INC NEW	Sale	03/11/2021	No	\$15,001 - \$50,000
37	AMGEN INC	Sale	03/11/2021	No	\$15,001 - \$50,000
38	AMPHENOL CORP NEW	Sale	03/11/2021	No	\$15,001 - \$50,000
39	ANALOG DEVICES INC	Sale	03/11/2021	No	\$15,001 - \$50,000
40	ANSYS INC	Sale	03/11/2021	No	\$1,001 - \$15,000
41	ANTHEM INC	Sale	03/11/2021	No	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
42	APPLE INC	Sale	03/11/2021	No	\$250,001 - \$500,000
43	APPLIED MATERIALS INC	Sale	03/11/2021	No	\$15,001 - \$50,000
44	APTARGROUP INC	Sale	03/11/2021	No	\$1,001 - \$15,000
45	APTIV PLC	Sale	03/11/2021	No	\$15,001 - \$50,000
46	ARCH CAP GROUP LTD	Sale	03/11/2021	No	\$1,001 - \$15,000
47	ARISTA NETWORKS INC	Sale	03/11/2021	No	\$1,001 - \$15,000
48	AT&T INC	Sale	03/11/2021	No	\$15,001 - \$50,000
49	AUTODESK INC	Sale	03/11/2021	No	\$15,001 - \$50,000
50	AUTOMATIC DATA PROCESSING INC	Sale	03/11/2021	No	\$15,001 - \$50,000
51	AUTOZONE INC	Sale	03/11/2021	No	\$1,001 - \$15,000
52	AVALARA INC	Sale	03/11/2021	No	\$1,001 - \$15,000
53	AVALONBAY CMNTYS INC	Sale	03/11/2021	No	\$1,001 - \$15,000
54	AVANGRID INC	Sale	03/11/2021	No	\$1,001 - \$15,000
55	AVERY DENNISON CORP	Sale	03/11/2021	No	\$1,001 - \$15,000
56	BALL CORP	Sale	03/11/2021	No	\$1,001 - \$15,000
57	BANK OF AMERICA CORP	Sale	03/11/2021	No	\$15,001 - \$50,000
58	BANK OF NEW YORK MELLON CORP	Sale	03/11/2021	No	\$1,001 - \$15,000
59	BANK OZK	Sale	03/11/2021	No	\$1,001 - \$15,000
60	BAXTER INTERNATIONAL INC	Sale	03/11/2021	No	\$1,001 - \$15,000
61	BECTON DICKINSON & CO	Sale	03/11/2021	No	\$15,001 - \$50,000
62	BED BATH & BEYOND INC	Sale	03/11/2021	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
63	BERKSHIRE HATHAWAY INC DEL CL B	Sale	03/11/2021	No	\$100,001 - \$250,000
64	BEYOND MEAT INC	Sale	03/11/2021	No	\$1,001 - \$15,000
65	BIOGEN INC	Sale	03/11/2021	No	\$1,001 - \$15,000
66	B J'S WHOLESALE CLUB HOLDINGS	Sale	03/11/2021	No	\$1,001 - \$15,000
67	BLACK HILLS CORP	Sale	03/11/2021	No	\$1,001 - \$15,000
68	BLACKROCK INC	Sale	03/11/2021	No	\$15,001 - \$50,000
69	BOEING CO	Sale	03/11/2021	No	\$15,001 - \$50,000
70	BOK FINL CORP	Sale	03/11/2021	No	\$1,001 - \$15,000
71	BOOKING HOLDINGS INC	Sale	03/11/2021	No	\$15,001 - \$50,000
72	BOSTON BEER INC	Sale	03/11/2021	No	\$15,001 - \$50,000
73	BOSTON SCIENTIFIC CORP	Sale	03/11/2021	No	\$1,001 - \$15,000
74	BRIGHTHOUSE FINANCIAL INC	Sale	03/11/2021	No	\$1,001 - \$15,000
75	BRINKER INTL INC	Sale	03/11/2021	No	\$1,001 - \$15,000
76	BRISTOL-MYERS SQUIBB CO	Sale	03/11/2021	No	\$15,001 - \$50,000
77	BROADCOM INC	Sale	03/11/2021	No	\$15,001 - \$50,000
78	BROWN FORMAN CORP CL B	Sale	03/11/2021	No	\$1,001 - \$15,000
79	CABOT OIL & GAS CORP CL A	Sale	03/11/2021	No	\$1,001 - \$15,000
80	CAMDEN PROPERTY TRUST REIT	Sale	03/11/2021	No	\$1,001 - \$15,000
81	CAPITAL ONE FINANCIAL CORP	Sale	03/11/2021	No	\$15,001 - \$50,000
82	CARDINAL HEALTH INC	Sale	03/11/2021	No	\$1,001 - \$15,000
83	CARLISLE COS INC	Sale	03/11/2021	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
84	CARMAX INC	Sale	03/11/2021	No	\$1,001 - \$15,000
85	CARRIER GLOBAL CORP	Sale	03/11/2021	No	\$1,001 - \$15,000
86	CASEYS GENERAL STORES INC	Sale	03/11/2021	No	\$1,001 - \$15,000
87	CATERPILLAR INC	Sale	03/11/2021	No	\$15,001 - \$50,000
88	CATHAY GENERAL BANCORP	Sale	03/11/2021	No	\$1,001 - \$15,000
89	C B R E GROUP INC	Sale	03/11/2021	No	\$1,001 - \$15,000
90	C D K GLOBAL INC.	Sale	03/11/2021	No	\$1,001 - \$15,000
91	CERNER CORP	Sale	03/11/2021	No	\$1,001 - \$15,000
92	CHAMPIONX CORP	Sale	03/11/2021	No	\$1,001 - \$15,000
93	CHARTER COMMUNICATIONS INC-A	Sale	03/11/2021	No	\$15,001 - \$50,000
94	CHENIERE ENERGY INC	Sale	03/11/2021	No	\$15,001 - \$50,000
95	CHIPOTLE MEXICAN GRILL INC -CL A	Sale	03/11/2021	No	\$1,001 - \$15,000
96	CHUBB LTD	Sale	03/11/2021	No	\$15,001 - \$50,000
97	CHURCH & DWIGHT INC	Sale	03/11/2021	No	\$1,001 - \$15,000
98	CIGNA CORP	Sale	03/11/2021	No	\$15,001 - \$50,000
99	CIMAREX ENERGY CO	Sale	03/11/2021	No	\$15,001 - \$50,000
100	CINTAS CORP	Sale	03/11/2021	No	\$1,001 - \$15,000
101	CISCO SYSTEMS INC	Sale	03/11/2021	No	\$15,001 - \$50,000
102	CITIGROUP INC	Sale	03/11/2021	No	\$15,001 - \$50,000
103	CLOROX CO	Sale	03/11/2021	No	\$1,001 - \$15,000
104	CME GROUP INC	Sale	03/11/2021	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
105	COCA - COLA CO	Sale	03/11/2021	No	\$15,001 - \$50,000
106	COGNIZANT TECHNOLOGY SOLUTIONS CORP	Sale	03/11/2021	No	\$1,001 - \$15,000
107	COLGATE-PALMOLIVE CO	Sale	03/11/2021	No	\$15,001 - \$50,000
108	COMCAST CORP CL A	Sale	03/11/2021	No	\$50,001 - \$100,000
109	COMERICA INC	Sale	03/11/2021	No	\$15,001 - \$50,000
110	CONAGRA BRANDS INC	Sale	03/11/2021	No	\$1,001 - \$15,000
111	CONOCOPHILLIPS	Sale	03/11/2021	No	\$15,001 - \$50,000
112	CONSTELLATION BRANDS INC	Sale	03/11/2021	No	\$1,001 - \$15,000
113	CORNING INC	Sale	03/11/2021	No	\$1,001 - \$15,000
114	COSTAR GROUP INC	Sale	03/11/2021	No	\$1,001 - \$15,000
115	COSTCO WHSL CORP NEW	Sale	03/11/2021	No	\$50,001 - \$100,000
116	COUPA SOFTWARE INC	Sale	03/11/2021	No	\$1,001 - \$15,000
117	CROWDSTRIKE HOLDINGS INC - A	Sale	03/11/2021	No	\$1,001 - \$15,000
118	CROWN CASTLE INTL CORP NEW	Sale	03/11/2021	No	\$1,001 - \$15,000
119	CROWN HLDGS INC	Sale	03/11/2021	No	\$1,001 - \$15,000
120	C S X CORP	Sale	03/11/2021	No	\$15,001 - \$50,000
121	CULLEN FROST BANKERS INC	Sale	03/11/2021	No	\$1,001 - \$15,000
122	CUMMINS INC	Sale	03/11/2021	No	\$15,001 - \$50,000
123	CVS HEALTH CORPORATION	Sale	03/11/2021	No	\$15,001 - \$50,000
124	DANAHER CORP	Sale	03/11/2021	No	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
125	DEERE & CO	Sale	03/11/2021	No	\$15,001 - \$50,000
126	DELL TECHNOLOGIES - C	Sale	03/11/2021	No	\$1,001 - \$15,000
127	DELTA AIR LINES INC	Sale	03/11/2021	No	\$1,001 - \$15,000
128	DIGITAL REALTY TRUST INC	Sale	03/11/2021	No	\$1,001 - \$15,000
129	DOCUSIGN INC	Sale	03/11/2021	No	\$1,001 - \$15,000
130	DOLLAR GENERAL CORP	Sale	03/11/2021	No	\$15,001 - \$50,000
131	DOLLAR TREE INC	Sale	03/11/2021	No	\$1,001 - \$15,000
132	DOMINION ENERGY INC	Sale	03/11/2021	No	\$1,001 - \$15,000
133	DOMINOS PIZZA INC	Sale	03/11/2021	No	\$1,001 - \$15,000
134	DOUGLAS EMMETT INC-W/I	Sale	03/11/2021	No	\$15,001 - \$50,000
135	DOVER CORP	Sale	03/11/2021	No	\$1,001 - \$15,000
136	DOW INC.	Sale	03/11/2021	No	\$1,001 - \$15,000
137	D T E ENERGY COMPANY	Sale	03/11/2021	No	\$1,001 - \$15,000
138	DUKE ENERGY CORP	Sale	03/11/2021	No	\$15,001 - \$50,000
139	DUPONT DE NEMOURS INC	Sale	03/11/2021	No	\$1,001 - \$15,000
140	DYCOM INDS INC	Sale	03/11/2021	No	\$1,001 - \$15,000
141	EASTMAN CHEM CO	Sale	03/11/2021	No	\$1,001 - \$15,000
142	EATON CORP PLC	Sale	03/11/2021	No	\$15,001 - \$50,000
143	EBAY INC	Sale	03/11/2021	No	\$1,001 - \$15,000
144	ECOLAB INC	Sale	03/11/2021	No	\$1,001 - \$15,000
145	EDISON INTERNATIONAL	Sale	03/11/2021	No	\$1,001 - \$15,000



#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
146	EDWARDS LIFESCIENCES CORP	Sale	03/11/2021	No	\$1,001 - \$15,000
147	ELANCO ANIMAL HEALTH INC	Sale	03/11/2021	No	\$1,001 - \$15,000
148	ELECTRONIC ARTS INC	Sale	03/11/2021	No	\$1,001 - \$15,000
149	EMERSON ELECTRIC CO	Sale	03/11/2021	No	\$15,001 - \$50,000
150	ENTERGY CORP	Sale	03/11/2021	No	\$1,001 - \$15,000
151	E O G RES INC	Sale	03/11/2021	No	\$15,001 - \$50,000
152	E Q T CORP	Sale	03/11/2021	No	\$1,001 - \$15,000
153	EQUINIX INC	Sale	03/11/2021	No	\$1,001 - \$15,000
154	EQUITY RESIDENTIAL	Sale	03/11/2021	No	\$1,001 - \$15,000
155	ESSENTIAL UTILS INC USD 0.5	Sale	03/11/2021	No	\$1,001 - \$15,000
156	ESSEX PROPERTY TRUST INC REIT	Sale	03/11/2021	No	\$1,001 - \$15,000
157	ESTEE LAUDER COMPANIES CLASS A	Sale	03/11/2021	No	\$15,001 - \$50,000
158	ETSY INC	Sale	03/11/2021	No	\$1,001 - \$15,000
159	EVERSOURCE ENERGY	Sale	03/11/2021	No	\$1,001 - \$15,000
160	EXELON CORP	Sale	03/11/2021	No	\$1,001 - \$15,000
161	EXPEDITORS INTL WASH INC	Sale	03/11/2021	No	\$1,001 - \$15,000
162	FACEBOOK INC-A	Sale	03/11/2021	No	\$100,001 - \$250,000
163	FASTENAL CO	Sale	03/11/2021	No	\$1,001 - \$15,000
164	FEDEX CORP	Sale	03/11/2021	No	\$15,001 - \$50,000
165	FIDELITY NATL INFORMATION SVCS INC	Sale	03/11/2021	No	\$15,001 - \$50,000
166	FISERV INC	Sale	03/11/2021	No	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
167	FIVE9 INC	Sale	03/11/2021	No	\$1,001 - \$15,000
168	FLEETCOR TECHNOLOGIES INC	Sale	03/11/2021	No	\$1,001 - \$15,000
169	FLUOR CORP NEW	Sale	03/11/2021	No	\$1,001 - \$15,000
170	FORD MOTOR CO	Sale	03/11/2021	No	\$1,001 - \$15,000
171	FORTIVE CORP.	Sale	03/11/2021	No	\$1,001 - \$15,000
172	GENERAL DYNAMICS CORP	Sale	03/11/2021	No	\$1,001 - \$15,000
173	GENERAL ELECTRIC CO	Sale	03/11/2021	No	\$15,001 - \$50,000
174	GENERAL MILLS INC	Sale	03/11/2021	No	\$1,001 - \$15,000
175	GENERAL MOTORS CO	Sale	03/11/2021	No	\$15,001 - \$50,000
176	GILEAD SCIENCES INC	Sale	03/11/2021	No	\$15,001 - \$50,000
177	GLOBAL PMTS INC	Sale	03/11/2021	No	\$15,001 - \$50,000
178	GOLDMAN SACHS GROUP INC	Sale	03/11/2021	No	\$15,001 - \$50,000
179	GRAMHAM HOLDINGS COMPANY	Sale	03/11/2021	No	\$1,001 - \$15,000
180	GUARDANT HEALTH INC	Sale	03/11/2021	No	\$1,001 - \$15,000
181	HANCOCK WHITNEY CORPORATION	Sale	03/11/2021	No	\$15,001 - \$50,000
182	HARLEY DAVIDSON INC	Sale	03/11/2021	No	\$1,001 - \$15,000
183	HARTFORD FINL SVCS GROUP INC	Sale	03/11/2021	No	\$1,001 - \$15,000
184	HCA HEALTHCARE INC	Sale	03/11/2021	No	\$1,001 - \$15,000
185	HEALTH PEAK PROPERTIES INC	Sale	03/11/2021	No	\$1,001 - \$15,000
186	HERSHEY CO	Sale	03/11/2021	No	\$1,001 - \$15,000
187	HESS CORP	Sale	03/11/2021	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
188	HEWLETT PACKARD ENTERPRIS	Sale	03/11/2021	No	\$1,001 - \$15,000
189	HILTON WORLDWIDE HOLDINGS IN	Sale	03/11/2021	No	\$15,001 - \$50,000
190	HOME DEPOT INC	Sale	03/11/2021	No	\$50,001 - \$100,000
191	HONEYWELL INTL INC	Sale	03/11/2021	No	\$15,001 - \$50,000
192	HORIZON THERAPEUTICS PUB LTD CO	Sale	03/11/2021	No	\$1,001 - \$15,000
193	HORMEL FOODS CORP	Sale	03/11/2021	No	\$1,001 - \$15,000
194	H P INC	Sale	03/11/2021	No	\$1,001 - \$15,000
195	HUMANA INC	Sale	03/11/2021	No	\$1,001 - \$15,000
196	IAC/INTERACTIVECORP	Sale	03/11/2021	No	\$1,001 - \$15,000
197	IDACORP INC	Sale	03/11/2021	No	\$1,001 - \$15,000
198	IDEXX LABS INC	Sale	03/11/2021	No	\$15,001 - \$50,000
199	IHS MARKIT LTD	Sale	03/11/2021	No	\$15,001 - \$50,000
200	ILLINOIS TOOL WKS INC	Sale	03/11/2021	No	\$15,001 - \$50,000
201	ILLUMINA INC	Sale	03/11/2021	No	\$1,001 - \$15,000
202	INGERSOLL RAND INC	Sale	03/11/2021	No	\$1,001 - \$15,000
203	INTEL CORP	Sale	03/11/2021	No	\$15,001 - \$50,000
204	INTERCONTINENTAL EXCHANGE INC	Sale	03/11/2021	No	\$1,001 - \$15,000
205	INTERNATIONAL BUSINESS MACHINES CORP	Sale	03/11/2021	No	\$1,001 - \$15,000
206	INTERNATIONAL FLAVORS & FRAGRANCES	Sale	03/11/2021	No	\$1,001 - \$15,000
207	INTUIT	Sale	03/11/2021	No	\$15,001 - \$50,000
208	INTUITIVE SURGICAL INC	Sale	03/11/2021	No	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
209	IOVANCE BIOTHERAPEUTICS INC	Sale	03/11/2021	No	\$1,001 - \$15,000
210	IQVIA HOLDINGS INC	Sale	03/11/2021	No	\$1,001 - \$15,000
211	IRON MOUNTAIN INC	Sale	03/11/2021	No	\$1,001 - \$15,000
212	JACK IN THE BOX INC	Sale	03/11/2021	No	\$1,001 - \$15,000
213	JANUS HENDERSON GROUP PLC	Sale	03/11/2021	No	\$1,001 - \$15,000
214	JOHNSON CTLS INTL PLC	Sale	03/11/2021	No	\$1,001 - \$15,000
215	JOHNSON & JOHNSON	Sale	03/11/2021	No	\$50,001 - \$100,000
216	JP MORGAN CHASE & CO	Sale	03/11/2021	No	\$100,001 - \$250,000
217	KANSAS CITY SOUTHERN	Sale	03/11/2021	No	\$1,001 - \$15,000
218	KELLOGG CO	Sale	03/11/2021	No	\$1,001 - \$15,000
219	KEURIG DR PEPPER INC	Sale	03/11/2021	No	\$1,001 - \$15,000
220	KEYSIGHT TECHNOLOGIES IN	Sale	03/11/2021	No	\$15,001 - \$50,000
221	KIMBERLY CLARK CORP	Sale	03/11/2021	No	\$1,001 - \$15,000
222	KINDER MORGAN INC	Sale	03/11/2021	No	\$1,001 - \$15,000
223	KRAFT HEINZ CO/THE	Sale	03/11/2021	No	\$1,001 - \$15,000
224	KROGER CO	Sale	03/11/2021	No	\$1,001 - \$15,000
225	L3HARRIS TECHNOLOGIES INC	Sale	03/11/2021	No	\$15,001 - \$50,000
226	LABORATORY CORP AMER HLDGS	Sale	03/11/2021	No	\$1,001 - \$15,000
227	LAM RESH CORP	Sale	03/11/2021	No	\$15,001 - \$50,000
228	LANCASTER COLONY CORP	Sale	03/11/2021	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
229	LEAR CORP	Sale	03/11/2021	No	\$1,001 - \$15,000
230	LIBERTY BROADBAND A-W/I	Sale	03/11/2021	No	\$1,001 - \$15,000
231	LIBERTY BROADBAND-C	Sale	03/11/2021	No	\$1,001 - \$15,000
232	LIFE STORAGE INC	Sale	03/11/2021	No	\$1,001 - \$15,000
233	LILLY ELI & CO	Sale	03/11/2021	No	\$15,001 - \$50,000
234	LINDE PLC	Sale	03/11/2021	No	\$15,001 - \$50,000
235	LOCKHEED MARTIN CORP	Sale	03/11/2021	No	\$15,001 - \$50,000
236	LOWE'S COMPANIES INC	Sale	03/11/2021	No	\$15,001 - \$50,000
237	LULULEMON ATHLETICA INC	Sale	03/11/2021	No	\$1,001 - \$15,000
238	LYONDELLBASELL INDU -CL A	Sale	03/11/2021	No	\$1,001 - \$15,000
239	MARATHON PETROLEUM CORP	Sale	03/11/2021	No	\$15,001 - \$50,000
240	MARRIOTT INTL INC NEW CL A	Sale	03/11/2021	No	\$1,001 - \$15,000
241	MARSH & MCLENNAN COS INC	Sale	03/11/2021	No	\$1,001 - \$15,000
242	MASCO CORP	Sale	03/11/2021	No	\$1,001 - \$15,000
243	MASTERCARD INCORPORATED	Sale	03/11/2021	No	\$50,001 - \$100,000
244	MATCH GROUP INC	Sale	03/11/2021	No	\$1,001 - \$15,000
245	MCCORMICK & CO INC COM NON VTG	Sale	03/11/2021	No	\$1,001 - \$15,000
246	MC DONALD'S CORPORATION	Sale	03/11/2021	No	\$15,001 - \$50,000
247	MCKESSON CORPORATION	Sale	03/11/2021	No	\$1,001 - \$15,000
248	MEDICAL PROPERTIES TRUST INC	Sale	03/11/2021	No	\$1,001 - \$15,000
249	MEDNAX INC	Sale	03/11/2021	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
250	MEDTRONIC PLC ADR	Sale	03/11/2021	No	\$15,001 - \$50,000
251	MERCK & CO INC	Sale	03/11/2021	No	\$15,001 - \$50,000
252	MERCURY GENERAL CORP	Sale	03/11/2021	No	\$1,001 - \$15,000
253	METTLER-TOLEDO INTL INC	Sale	03/11/2021	No	\$1,001 - \$15,000
254	MICRON TECHNOLOGY INC	Sale	03/11/2021	No	\$1,001 - \$15,000
255	MICROSOFT CORPORATION	Sale	03/11/2021	No	\$250,001 - \$500,000
256	MID-AMERICA APARTMENT COMMUNITYS INC	Sale	03/11/2021	No	\$1,001 - \$15,000
257	MODERNA INC	Sale	03/11/2021	No	\$1,001 - \$15,000
258	MONDELEZ INTERNATIONAL	Sale	03/11/2021	No	\$15,001 - \$50,000
259	MONSTER BEVERAGE CORP	Sale	03/11/2021	No	\$1,001 - \$15,000
260	MOODYS CORP	Sale	03/11/2021	No	\$1,001 - \$15,000
261	MORGAN STANLEY	Sale	03/11/2021	No	\$15,001 - \$50,000
262	MORNINGSTAR INC	Sale	03/11/2021	No	\$1,001 - \$15,000
263	MOTOROLA SOLUTIONS INC.	Sale	03/11/2021	No	\$1,001 - \$15,000
264	MURPHY USA INC-W/1	Sale	03/11/2021	No	\$1,001 - \$15,000
265	NETFLIX COM INC	Sale	03/11/2021	No	\$15,001 - \$50,000
266	NEUROCRINE BIOSCIENCES INC	Sale	03/11/2021	No	\$1,001 - \$15,000
267	NEWMONT CORPORATION	Sale	03/11/2021	No	\$1,001 - \$15,000
268	NEXTERA ENERGY INC	Sale	03/11/2021	No	\$15,001 - \$50,000
269	NIKE INC CLASS B	Sale	03/11/2021	No	\$15,001 - \$50,000
270	NISOURCE INC	Sale	03/11/2021	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
271	NORFOLK SOUTHERN CORP	Sale	03/11/2021	No	\$15,001 - \$50,000
272	NORTHERN TR CORP	Sale	03/11/2021	No	\$1,001 - \$15,000
273	NORTHROP GRUMMAN CORP	Sale	03/11/2021	No	\$1,001 - \$15,000
274	NRG ENERGY INC	Sale	03/11/2021	No	\$1,001 - \$15,000
275	NUCOR CORP	Sale	03/11/2021	No	\$1,001 - \$15,000
276	NVENT ELECTRIC PLC	Sale	03/11/2021	No	\$1,001 - \$15,000
277	NVIDIA CORP	Sale	03/11/2021	No	\$50,001 - \$100,000
278	OGE ENERGY CORP	Sale	03/11/2021	No	\$1,001 - \$15,000
279	OKTA INC	Sale	03/11/2021	No	\$1,001 - \$15,000
280	OLD DOMINION FGHT LINE	Sale	03/11/2021	No	\$1,001 - \$15,000
281	OLD REPUBLIC INTL CORP	Sale	03/11/2021	No	\$1,001 - \$15,000
282	OLIN CORP	Sale	03/11/2021	No	\$1,001 - \$15,000
283	OMNICOM GROUP INC	Sale	03/11/2021	No	\$1,001 - \$15,000
284	ONE GAS INC	Sale	03/11/2021	No	\$1,001 - \$15,000
285	ONEMAIN HOLDINGS, INC	Sale	03/11/2021	No	\$1,001 - \$15,000
286	ORACLE CORP	Sale	03/11/2021	No	\$15,001 - \$50,000
287	O'REILLY AUTOMOTIVE INC	Sale	03/11/2021	No	\$1,001 - \$15,000
288	OUTFRONT MEDIA INC	Sale	03/11/2021	No	\$1,001 - \$15,000
289	PACCAR INC	Sale	03/11/2021	No	\$1,001 - \$15,000
290	PACWEST BANCORP	Sale	03/11/2021	No	\$1,001 - \$15,000
291	PALO ALTO NETWORKS INC	Sale	03/11/2021	No	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
292	PARKER HANNIFIN CORP	Sale	03/11/2021	No	\$1,001 - \$15,000
293	PARK HOTELS & RESORTS INC-WI	Sale	03/11/2021	No	\$1,001 - \$15,000
294	PATTERSON COS INC	Sale	03/11/2021	No	\$1,001 - \$15,000
295	PATTERSON-UTI ENERGY INC	Sale	03/11/2021	No	\$1,001 - \$15,000
296	PAYCHEX INC	Sale	03/11/2021	No	\$15,001 - \$50,000
297	PAYPAL HOLDINGS INC	Sale	03/11/2021	No	\$50,001 - \$100,000
298	PEPSICO INC	Sale	03/11/2021	No	\$50,001 - \$100,000
299	PERKINELMER INC	Sale	03/11/2021	No	\$1,001 - \$15,000
300	PERRIGO CO PLC	Sale	03/11/2021	No	\$1,001 - \$15,000
301	PERSPECTA INC-WHEN ISSUED	Sale	03/11/2021	No	\$1,001 - \$15,000
302	PFIZER INC	Sale	03/11/2021	No	\$50,001 - \$100,000
303	PINTEREST INC- CLASS A	Sale	03/11/2021	No	\$1,001 - \$15,000
304	PIONEER NATURAL RESOURCES CO	Sale	03/11/2021	No	\$15,001 - \$50,000
305	PNC FINANCIAL SERVICES GROUP	Sale	03/11/2021	No	\$15,001 - \$50,000
306	POOL CORP	Sale	03/11/2021	No	\$1,001 - \$15,000
307	POPULAR INC	Sale	03/11/2021	No	\$1,001 - \$15,000
308	P P G INDUSTRIES INC	Sale	03/11/2021	No	\$1,001 - \$15,000
309	PPL CORP	Sale	03/11/2021	No	\$1,001 - \$15,000
310	PREMIER INC-CLASS A	Sale	03/11/2021	No	\$1,001 - \$15,000
311	PRESTIGE CONSUMER HEALTHCARE INC	Sale	03/11/2021	No	\$1,001 - \$15,000



#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
312	PRINCIPAL FINL GROUP INC	Sale	03/11/2021	No	\$1,001 - \$15,000
313	PROCTER & GAMBLE CO	Sale	03/11/2021	No	\$50,001 - \$100,000
314	PROGRESSIVE CORP OHIO	Sale	03/11/2021	No	\$1,001 - \$15,000
315	PROLOGIS INC	Sale	03/11/2021	No	\$15,001 - \$50,000
316	PRUDENTIAL FINANCIAL INC	Sale	03/11/2021	No	\$1,001 - \$15,000
317	PUBLIC STORAGE INC REIT	Sale	03/11/2021	No	\$15,001 - \$50,000
318	PUBLIC SVC ENTERPRISE GROUP INC	Sale	03/11/2021	No	\$1,001 - \$15,000
319	PULTE GROUP INC	Sale	03/11/2021	No	\$1,001 - \$15,000
320	QUALCOMM INC	Sale	03/11/2021	No	\$15,001 - \$50,000
321	QURATE RETAIL INC SERIES A	Sale	03/11/2021	No	\$1,001 - \$15,000
322	RANGE RESOURCES CORP	Sale	03/11/2021	No	\$1,001 - \$15,000
323	RAYTHEON TECHNOLOGIES CORP	Sale	03/11/2021	No	\$15,001 - \$50,000
324	REGENCY CTRS CORP	Sale	03/11/2021	No	\$1,001 - \$15,000
325	REGENERON PHARMACEUTICALS INC	Sale	03/11/2021	No	\$1,001 - \$15,000
326	REPLIGEN CORP	Sale	03/11/2021	No	\$1,001 - \$15,000
327	REPUBLIC SVCS INC COM	Sale	03/11/2021	No	\$1,001 - \$15,000
328	RESMED INC	Sale	03/11/2021	No	\$1,001 - \$15,000
329	RINGCENTRAL INC-CLASS A	Sale	03/11/2021	No	\$1,001 - \$15,000
330	ROKU INC	Sale	03/11/2021	No	\$15,001 - \$50,000
331	ROPER TECHNOLOGIES INC	Sale	03/11/2021	No	\$1,001 - \$15,000
332	ROSS STORES INC	Sale	03/11/2021	No	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
333	ROYAL CARIBBEAN CRUISES LTD	Sale	03/11/2021	No	\$1,001 - \$15,000
334	ROYAL GOLD INC	Sale	03/11/2021	No	\$1,001 - \$15,000
335	RPM INTERNATIONAL INC	Sale	03/11/2021	No	\$1,001 - \$15,000
336	SABRA HEALTH CARE REIT INC	Sale	03/11/2021	No	\$1,001 - \$15,000
337	SAGE THERAPEUTICS INC	Sale	03/11/2021	No	\$1,001 - \$15,000
338	SALESFORCE.COM INC	Sale	03/11/2021	No	\$15,001 - \$50,000
339	S B A COMMUNICATIONS CORP	Sale	03/11/2021	No	\$1,001 - \$15,000
340	SCHWAB CHARLES CORP NEW	Sale	03/11/2021	No	\$15,001 - \$50,000
341	SEALED AIR CORP NEW	Sale	03/11/2021	No	\$1,001 - \$15,000
342	S E I INVESTMENTS COMPANY	Sale	03/11/2021	No	\$1,001 - \$15,000
343	SEMPRA ENERGY	Sale	03/11/2021	No	\$1,001 - \$15,000
344	SERVICENOW INC	Sale	03/11/2021	No	\$15,001 - \$50,000
345	SHERWIN WILLIAMS CO	Sale	03/11/2021	No	\$1,001 - \$15,000
346	SIMON PROPERTY GROUP INC REIT	Sale	03/11/2021	No	\$1,001 - \$15,000
347	SIRIUS XM HOLDINGS INC	Sale	03/11/2021	No	\$1,001 - \$15,000
348	SIX FLAGS ENTERTAINMENT CORP	Sale	03/11/2021	No	\$1,001 - \$15,000
349	SKYWORKS SOLUTIONS INC	Sale	03/11/2021	No	\$1,001 - \$15,000
350	SLACK TECHNOLOGIES INC- CL A	Sale	03/11/2021	No	\$1,001 - \$15,000
351	SMUCKER J M CO	Sale	03/11/2021	No	\$1,001 - \$15,000
352	SONOCO PRODUCTS CO	Sale	03/11/2021	No	\$1,001 - \$15,000
353	SOUTHERN CO	Sale	03/11/2021	No	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
354	SOUTHWEST AIRLIS CO	Sale	03/11/2021	No	\$1,001 - \$15,000
355	S & P GLOBAL INC	Sale	03/11/2021	No	\$15,001 - \$50,000
356	SPLUNK INC	Sale	03/11/2021	No	\$1,001 - \$15,000
357	SPROUTS FARMERS MARKETS INC	Sale	03/11/2021	No	\$1,001 - \$15,000
358	SQUARE INC - A	Sale	03/11/2021	No	\$15,001 - \$50,000
359	STANLEY BLACK & DECKER INC	Sale	03/11/2021	No	\$1,001 - \$15,000
360	STARBUCKS CORP	Sale	03/11/2021	No	\$15,001 - \$50,000
361	STARWOOD PROPERTY TRUST INC	Sale	03/11/2021	No	\$1,001 - \$15,000
362	STATE STREET CORP	Sale	03/11/2021	No	\$1,001 - \$15,000
363	STRYKER CORP	Sale	03/11/2021	No	\$15,001 - \$50,000
364	SUN COMUNITIES INC	Sale	03/11/2021	No	\$1,001 - \$15,000
365	SYNCHRONY FINANCIAL	Sale	03/11/2021	No	\$1,001 - \$15,000
366	SYNOPSIS INC	Sale	03/11/2021	No	\$1,001 - \$15,000
367	SYNOVUS FINL CORP.	Sale	03/11/2021	No	\$1,001 - \$15,000
368	SYSCO CORP	Sale	03/11/2021	No	\$1,001 - \$15,000
369	TAKE-TWO INTERACTIVE SOFTWARE INC	Sale	03/11/2021	No	\$1,001 - \$15,000
370	TARGA RESOURCES CORP	Sale	03/11/2021	No	\$1,001 - \$15,000
371	TARGET CORP	Sale	03/11/2021	No	\$15,001 - \$50,000
372	T C F FINL CORP NEW	Sale	03/11/2021	No	\$1,001 - \$15,000
373	TELADOC HEALTH INC	Sale	03/11/2021	No	\$1,001 - \$15,000
374	TELEPHONE AND DATA SYSTEMS	Sale	03/11/2021	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
375	TESLA INC	Sale	03/11/2021	No	\$100,001 - \$250,000
376	TEXAS CAPITAL BANCSHARES INC	Sale	03/11/2021	No	\$1,001 - \$15,000
377	TEXAS INSTRUMENTS INC	Sale	03/11/2021	No	\$15,001 - \$50,000
378	THERMO FISHER SCIENTIFIC INC.	Sale	03/11/2021	No	\$15,001 - \$50,000
379	THE TRAVELERS COMPANIES INC	Sale	03/11/2021	No	\$15,001 - \$50,000
380	T J X COMPANIES INC	Sale	03/11/2021	No	\$15,001 - \$50,000
381	T -MOBILE US INC	Sale	03/11/2021	No	\$15,001 - \$50,000
382	TOLL BROS INC	Sale	03/11/2021	No	\$1,001 - \$15,000
383	TOPBUILD CORP	Sale	03/11/2021	No	\$1,001 - \$15,000
384	TRACTOR SUPPLY COMPANY	Sale	03/11/2021	No	\$1,001 - \$15,000
385	TRANE TECHNOLOGIES PLC	Sale	03/11/2021	No	\$15,001 - \$50,000
386	TRANSDIGM GROUP INC	Sale	03/11/2021	No	\$1,001 - \$15,000
387	TRAVEL + LEISURE CO	Sale	03/11/2021	No	\$1,001 - \$15,000
388	TRI POINTE HOMES, INC.	Sale	03/11/2021	No	\$1,001 - \$15,000
389	T ROWE PRICE GROUP INC	Sale	03/11/2021	No	\$1,001 - \$15,000
390	TRUIST FINL CORP	Sale	03/11/2021	No	\$15,001 - \$50,000
391	TWILIO INC - A	Sale	03/11/2021	No	\$15,001 - \$50,000
392	TWITTER INC	Sale	03/11/2021	No	\$15,001 - \$50,000
393	TYSON FOODS INC CLASS A	Sale	03/11/2021	No	\$1,001 - \$15,000
394	UBER TECHNOLOGIES INC	Sale	03/11/2021	No	\$15,001 - \$50,000
395	UDR INC	Sale	03/11/2021	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
396	U G I CORP NEW	Sale	03/11/2021	No	\$1,001 - \$15,000
397	UNION PAC CORP	Sale	03/11/2021	No	\$15,001 - \$50,000
398	UNITED AIRLINES HOLDINGS INC	Sale	03/11/2021	No	\$1,001 - \$15,000
399	UNITEDHEALTH GROUP INC	Sale	03/11/2021	No	\$50,001 - \$100,000
400	UNITED PARCEL SERVICE CL B	Sale	03/11/2021	No	\$15,001 - \$50,000
401	UNITED STATES CELLULAR CORP	Sale	03/11/2021	No	\$1,001 - \$15,000
402	UNUM GROUP	Sale	03/11/2021	No	\$1,001 - \$15,000
403	US BANCORP	Sale	03/11/2021	No	\$1,001 - \$15,000
404	VALERO ENERGY CORP NEW	Sale	03/11/2021	No	\$15,001 - \$50,000
405	VARIAN MEDICAL SYSTEMS INC	Sale	03/11/2021	No	\$1,001 - \$15,000
406	VEEVA SYSTEMS INC-CLASS A	Sale	03/11/2021	No	\$1,001 - \$15,000
407	VENTAS INC	Sale	03/11/2021	No	\$1,001 - \$15,000
408	VERISIGN INC	Sale	03/11/2021	No	\$1,001 - \$15,000
409	VERISK ANALYTICS INC-COMMON	Sale	03/11/2021	No	\$1,001 - \$15,000
410	VERIZON COMMUNICATIONS INC	Sale	03/11/2021	No	\$50,001 - \$100,000
411	VERTEX PHARMACEUTICALS INC	Sale	03/11/2021	No	\$1,001 - \$15,000
412	V F CORP	Sale	03/11/2021	No	\$1,001 - \$15,000
413	VIATRIS INC	Sale	03/11/2021	No	\$1,001 - \$15,000
414	VICI PROPERTIES INC	Sale	03/11/2021	No	\$1,001 - \$15,000
415	VISA INC-CLASS A SHRS	Sale	03/11/2021	No	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
416	VONTIER CORPORATION	Sale	03/11/2021	No	\$1,001 - \$15,000
417	VULCAN MATERIALS CO	Sale	03/11/2021	No	\$1,001 - \$15,000
418	WALGREENS BOOTS ALLIANCE INC	Sale	03/11/2021	No	\$1,001 - \$15,000
419	WALT DISNEY CO/THE	Sale	03/11/2021	No	\$50,001 - \$100,000
420	WASTE MANAGEMENT INC	Sale	03/11/2021	No	\$1,001 - \$15,000
421	WATERS CORP	Sale	03/11/2021	No	\$1,001 - \$15,000
422	WATSCO INC CLASS A	Sale	03/11/2021	No	\$1,001 - \$15,000
423	WAYFAIR INC- CLASS A	Sale	03/11/2021	No	\$1,001 - \$15,000
424	WEBSTER FINL CORP WATERBURY CONN	Sale	03/11/2021	No	\$1,001 - \$15,000
425	W E C ENERGY GROUP INC	Sale	03/11/2021	No	\$1,001 - \$15,000
426	WEINGARTEN REALTY INVESTMENT	Sale	03/11/2021	No	\$1,001 - \$15,000
427	WELLS FARGO & COMPANY	Sale	03/11/2021	No	\$15,001 - \$50,000
428	WELLTOWER INC	Sale	03/11/2021	No	\$1,001 - \$15,000
429	WESTERN UNION CO	Sale	03/11/2021	No	\$1,001 - \$15,000
430	WEST PHARMACEUTICAL SERVICE INC	Sale	03/11/2021	No	\$1,001 - \$15,000
431	WILLIAMS COS INC	Sale	03/11/2021	No	\$1,001 - \$15,000
432	WORKDAY INC-CLASS A	Sale	03/11/2021	No	\$15,001 - \$50,000
433	XCEL ENERGY INC	Sale	03/11/2021	No	\$1,001 - \$15,000
434	XILINX INC	Sale	03/11/2021	No	\$15,001 - \$50,000
435	YUM! BRANDS INC	Sale	03/11/2021	No	\$15,001 - \$50,000
436	ZILLOW GROUP INC	Sale	03/11/2021	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
437	ZIMMER BIOMET HOLDINGS, INC	Sale	03/11/2021	No	\$1,001 - \$15,000
438	ZION BANCORP N.A.	Sale	03/11/2021	No	\$15,001 - \$50,000
439	ZOETIS INC	Sale	03/11/2021	No	\$15,001 - \$50,000
440	ZOOM VIDEO COMMUNICATIONS-A	Sale	03/11/2021	No	\$1,001 - \$15,000
441	ZSCALER INC	Sale	03/11/2021	No	\$1,001 - \$15,000

## Endnotes

## Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an

inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

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