

**UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF TEXAS
FORT WORTH DIVISION**

CHAD EVERET BRACKEEN and)
 JENNIFER KAY BRACKEEN)
)
 and)
)
 TEXAS,)
)
 Plaintiffs,)
)
 v.)
)
 RYAN ZINKE, in his official capacity as Sec-)
 retary of the United States Department of the)
 Interior; BRYAN RICE, in his official capac-)
 ity as Director of the Bureau of Indian Affairs;)
 MICHAEL BLACK, in his official capacity)
 as Acting Assistant Secretary for Indian Af-)
 fairs; the BUREAU OF INDIAN AFFAIRS;)
 and the UNITED STATES DEPARTMENT)
 OF THE INTERIOR,)
)
 Defendants.)

Case No. 4:17-cv-00868

**COMPLAINT AND PRAYER FOR
DECLARATORY AND INJUNCTIVE RELIEF**

1. Chad and Jennifer Brackeen want to adopt A.L.M., a two-year-old boy, and provide him with a loving, safe, and permanent home. The Brackeens have fostered A.L.M. since he was ten months old, and A.L.M.’s biological parents and grandmother support the adoption. The only thing standing in the way of the Brackeens’ loving embrace is the federal government.

2. A Texas family court, applying federal law, refused the Brackeens’ adoption petition, and ordered A.L.M. removed from the only real home he has known with the Brackeens to

live with a couple he does not know, in a state he has never even visited. The sole justification for this result is that A.L.M. is labeled an “Indian child” under federal law.

3. In 1978, Congress decided that the federal government, and, in particular, the Department of the Interior’s Bureau of Indian Affairs (“BIA”), knew best how to manage the fostering and adoption of Native American children. Though the Constitution reserves domestic relations to the States, and despite the fact that Congress possesses no enumerated power to legislate in this way, Congress enacted the Indian Child Welfare Act (“ICWA”), 25 U.S.C. §§ 1901–1963. ICWA, and the enabling regulations promulgated by the BIA, invade every aspect of state family law as applied to Indian children. ICWA commandeers state agencies and courts to become investigative and executive actors carrying out federal policy, and to make child-custody decisions based on racial preferences.

4. By enforcing this racially discriminatory policy, the federal government places Indian children at risk for serious and lasting harm. And States that refuse to follow ICWA risk having their child-custody decisions invalidated and federal child welfare funding pulled. Thus, Congress forces ICWA on the States by threatening the stability and well-being of the family lives of their youngest and most vulnerable citizens.

5. This is an action under the Administrative Procedure Act (“APA”), 5 U.S.C. §§ 551–706, and the United States Constitution, brought to challenge the validity of a final rule entitled *Indian Child Welfare Act Proceedings*, 81 Fed. Reg. 38,778 (June 14, 2016) (the “Final Rule”) (codified at 25 C.F.R. pt. 23), and certain provisions of ICWA that the Final Rule purports to interpret and implement.

6. Plaintiffs Chad and Jennifer Brackeen bring this action because the Final Rule and ICWA were applied by a Texas family court to deny them the ability to adopt A.L.M., and, as a

result, the Texas Department of Family Protective Services (“Texas DFPS”), also applying the Final Rule, seeks to remove A.L.M. from the Brackeens’ home and place him with an unknown Indian family in New Mexico. The Brackeens’ petition to adopt A.L.M. was denied solely because the family court concluded that ICWA and the Final Rule applied to the Brackeens’ petition and that the Brackeens had failed to satisfy, by the Final Rule’s clear and convincing burden of proof, that “good cause” exists to depart from the Final Rule’s and ICWA’s “placement preferences.” *See* 23 C.F.R. § 23.132; *see also* Order Denying Request for Adoption of Child, *In re A.L.M., a Child*, No. 323-105593-17 (323rd Dist. Ct., Tarrant Cty., Texas Aug. 22, 2017).

7. ICWA’s placement preferences require that, “in any adoptive placement of an Indian child under State law, a preference shall be given in absence of good cause to the contrary to a placement with (1) a member of the child’s extended family; (2) other members of the Indian child’s tribe; or (3) other Indian families.” 25 U.S.C. § 1915(a); *see also* 25 C.F.R. § 23.130.

8. By all other legal standards and metrics, the Brackeens were an appropriate adoptive family for A.L.M. But because the family court found A.L.M. to be an “Indian child,” and the Brackeens not to be an “Indian family,” the Brackeens were required to establish “good cause” to depart from ICWA’s placement preferences in accordance with the Final Rule’s new standard of proof for “good cause.” The Brackeens, in other words, were put to the burden of establishing “good cause” because they were a race different from A.L.M.

9. The decision of the Texas family court to deny the Brackeens’ petition for adoption is now on appeal, and the family court has entered an order staying any change in A.L.M.’s placement pending that appeal. If either the Final Rule or the pertinent provisions of ICWA are invalidated, the decision of the family court relying on the Final Rule and ICWA would be reversed (or at least vacated), the threat of A.L.M. imminently being removed from the Brackeens would be

abated, and the Brackeens would be able to adopt A.L.M. The Brackeens, however, cannot challenge the legality of the Final Rule under the APA in the family court proceedings because such an action must be brought in a “court of the United States.” 5 U.S.C. § 702.

10. Plaintiff Texas brings this action because ICWA and the Final Rule intrude upon its sovereign authority over domestic relations in every child-custody proceeding, because ICWA demands that Texas DFPS and Texas courts inquire about Indian children in every foster care, preadoptive, or adoption proceeding.

11. Plaintiffs thus bring this action for declaratory and injunctive relief and pray that this court: (1) vacate and set aside the Final Rule; (2) declare that Sections 1901–1923 and 1951–1952 of ICWA violate the Constitution; (3) declare that Section 1915 of ICWA violates the Constitution; (4) enjoin the defendants from implementing or administering Sections 1901–1923 and 1951–1952 of ICWA; and (5) enjoin the defendants from implementing or administering Section 1915 of ICWA.

PARTIES

12. Plaintiffs Chad Everet Brackeen and Jennifer Kay Brackeen are foster parents to the two-year-old child A.L.M., who has lived with them since June 2016. They also are raising two biological children in their home, aged eight and six. Neither Mr. Brackeen nor Mrs. Brackeen is “a member of an Indian tribe,” 25 U.S.C. § 1903(3), and therefore the Brackeens are not an “Indian family” within the meaning of ICWA and the Final Rule.

13. Plaintiff Texas possesses sovereign authority over family law issues within its borders. Texas DFPS is the agency responsible for child-custody proceedings and ensuring compliance with ICWA and the Final Rule. Texas courts possess jurisdiction over child custody proceedings arising under the Texas Family Code. When Texas DFPS encounters an Indian child in a child custody proceeding, almost every aspect of the matter is affected. The legal burden of proof for

removal is higher, as is the legal burden of proof for obtaining any final order terminating parental rights or restricting a parent's custody. Texas DFPS must serve specific notices regarding ICWA rights on various entities and individuals. ICWA requires the Texas DFPS caseworker to make active efforts to reunify the child and family. Texas state courts and Texas DFPS must place the child according to ICWA's racial preferences. And expert testimony on tribal child and family practices may be necessary, at a cost to Texas, to adjudicate ICWA cases. These are just some of the burdens ICWA imposes on Texas.

14. Texas is the guardian of the health, welfare, safety, and property of its citizens. *Alfred L. Snapp & Son, Inc. v. Puerto Rico*, 458 U.S. 592 (1982). Texas represents the interests of the many children within its custody and care, whether in foster care, preadoption, or adoption services. *Farmers Grp., Inc. v. Lubin*, 222 S.W.3d 417, 423 (Tex. 2007). Texas also represents the interest of its resident parents who are thinking about fostering and/or adopting a child, and who are currently fostering or in the process of adopting a child, and who are directly and substantially injured by the application of ICWA and the Final Rule's discriminatory mandates. Texas cannot remedy these injuries through its sovereign lawmaking powers because Defendants mandate compliance with ICWA.

15. Defendant Ryan Zinke is the Secretary of the United States Department of the Interior. He is sued in his official capacity.

16. Defendant Bryan Rice is the Director of the Bureau of Indian Affairs within the United States Department of the Interior. He is sued in his official capacity.

17. Defendant Michael Black is the Acting Assistant Secretary for Indian Affairs at the Bureau of Indian Affairs within the United States Department of the Interior. He is sued in his official capacity.

18. Defendant Bureau of Indian Affairs (“BIA”) is a federal agency within the Department of the Interior.

19. Defendant United States Department of the Interior (the “Department”) is a federal executive department of the United States.

JURISDICTION AND VENUE

20. This action arises under the APA and the United States Constitution. This Court has subject-matter jurisdiction over this action under 28 U.S.C. § 1331 (federal question) and 5 U.S.C. §§ 701–706 (review of agency action). This Court has authority to award the requested declaratory and injunctive relief, 28 U.S.C. §§ 2201–02, and costs and attorneys’ fees, 28 U.S.C. § 2412.

21. Venue is proper in this Court under 28 U.S.C. § 1391(e)(1) as this is an action against officers and agencies of the United States, a substantial part of the events giving rise to this claim occurred in this district, and no real property is involved in the action.

ALLEGATIONS

I. THE STATUTORY AND REGULATORY FRAMEWORK

A. State Power Over Domestic Relations

22. With few exceptions, regulation of domestic relations is an area of law over which the States possess exclusive power. *Sosna v. Iowa*, 419 U.S. 393, 404 (1975). “The whole subject of the domestic relations of husband and wife, parent and child, belongs to the laws of the states, and not to the laws of the United States.” *Ex parte Burrus*, 136 U.S. 586, 593–94 (1890).

23. The power of States over domestic relations is so well-settled that federal courts lack Article III jurisdiction over domestic relations issues, and child custody disputes in particular. *Burrus*, 136 U.S. at 594.

24. All States regulate domestic relations, including marriage, divorce, adoption, and the rights and responsibilities of parents and children.

25. For example, Texas regulates the domestic relations of individuals domiciled within its borders. Title 1 of the Texas Family Code regulates the formation and dissolution of marriage and marital property rights. Tex. Fam. Code §§ 1.101–9.302. Title 1-a regulates the collaborative family law process. *Id.* §§ 15.001–15.116. Title 2 regulates the status of children in relation to their parents. *Id.* §§ 31.001–47.003. Title 3 protects the public and ensures public safety through a juvenile justice code. *Id.* §§ 51.01–61.107. Title 3a regulates truant conduct of children. *Id.* §§ 65.001–65.259. Title 4 protects Texas families from domestic violence. *Id.* §§ 71.001–93.004. And Title 5 regulates the parent-child relationship, including termination of parental rights, foster care, and adoption. *Id.* §§ 101.001–266.013.

26. Texas places the “best interest of the child” as the “primary consideration” for courts when determining parentage, possession, and access to the child. Tex. Fam. Code § 153.002; *see also id.* § 161.001(b)(2). Texas’s “fundamental interest in parental-rights termination cases is to protect the best interest of the child.” *In re M.S.*, 115 S.W.3d 534, 548 (Tex. 2003) (citations omitted).

27. In Texas, the best interest of the child standard “is aligned with another of the child’s interests—an interest in a final decision on termination so that adoption to a stable home or return to the parents is not unduly prolonged.” *In re M.S.*, 115 S.W.3d at 548 (citations omitted). “Indeed, the U.S. Supreme Court has recognized that prolonged termination proceedings can have psychological effects on a child of such magnitude that time is of the essence.” *Id.* (quoting *In re J.F.C.*, 96 S.W.3d 256, 304 (Tex. 2002) (Schneider, J., dissenting) (quoting *Lehman v. Lycoming*

Cty. Children's Servs. Agency, 458 U.S. 502, 513–14 (1982))). Thus, the Texas Family Code protects children by requiring prompt action on the part of trial and appellate courts when confronting cases that involve the parent-child relationship. Tex. Fam. Code §§ 105.004, 109.002(a-1), 161.002, 162.005.

28. ICWA and the Final Rule alter the application of Texas family law to Indian children and impose significant delays on permanency for those children.

B. The Indian Child Welfare Act

29. In the mid-1970s, there was rising concern over “abusive child welfare practices that resulted in the separation of large numbers of Indian children from their families and tribes through adoption or foster care placement, usually in non-Indian homes.” *Miss. Band of Choctaw Indians v. Holyfield*, 490 U.S. 30, 32 (1989). “Congress found that ‘an alarmingly high percentage of Indian families [were being] broken up by the removal, often unwarranted, of their children from them by nontribal public and private agencies.’” *Adoptive Couple v. Baby Girl*, 133 S. Ct. 2552, 2557 (2013) (quoting 25 U.S.C. § 1901(4)). “This wholesale removal of Indian children from their homes prompted Congress” to enact ICWA, 25 U.S.C. §§ 1901–1963. *Id.*

30. ICWA establishes “minimum Federal standards for the removal of Indian children from their families and the placement of such children in foster or adoptive homes.” 25 U.S.C. § 1902. An “Indian child” is defined as “any unmarried person who is under age eighteen and is either (a) a member of an Indian tribe or (b) is eligible for membership in an Indian tribe and is the biological child of a member of an Indian tribe.” *Id.* § 1903(4).

31. ICWA mandates placement preferences in foster care, preadoptive, and adoptive proceedings involving Indian children. 25 U.S.C. § 1915.

32. “In any adoptive placement under State law,” ICWA mandates that, “in the absence of good cause to the contrary,” “preference shall be given . . . to a placement with (1) a member of

the child's extended family; (2) other members of the Indian child's tribe; or (3) other Indian families." 25 U.S.C. § 1915(a).

33. ICWA similarly requires that "in any foster care or preadoptive placement preference shall be given, in the absence of good cause to the contrary, to placement with – (i) a member of the child's extended family; (ii) a foster home . . . specified by the Indian child's tribe; (iii) an Indian foster home . . . approved by an authorized non-Indian licensing authority; or (iv) an institution for children approved by an Indian tribe or operated by an Indian tribe." 25 U.S.C. § 1915(b).

34. ICWA requires state agencies and courts to defer to the alteration of the preferences established by Section 1915(a)–(b), if the Indian child's tribe establishes a different order of preference by resolution. 25 U.S.C. § 1915(c).

35. ICWA places an affirmative duty on state agencies and courts to notify potential intervenors and the federal government about an Indian child matter. 25 U.S.C. § 1912.

36. In any involuntary child-custody proceeding, ICWA commands state agencies and courts, when seeking foster care placement of, or termination of parental rights to, an Indian child, to notify the parents or Indian custodian and the Indian child's tribe of the pending proceedings and of their right to intervention under 25 U.S.C. § 1911(c). 25 U.S.C. § 1912(a); 25 C.F.R. § 23.11. Copies of these notices must be sent to the Secretary and the BIA. 25 C.F.R. § 23.11. No foster care placement or termination of parental rights proceeding may be held until at least ten days after receipt of the notice by the parent or Indian custodian and tribe or the Secretary. 25 U.S.C. § 1912(a). ICWA grants the Indian custodian or tribe up to twenty additional days to prepare for such proceedings. *Id.*

37. ICWA demands that state agencies and courts undertake additional duties and costs to implement its federal program.

38. ICWA requires state agencies charged with serving children in foster care and adoption proceedings to use “active efforts” to prevent the breakup of the family. “Any party [including state agencies] seeking to effect a foster care placement of, or termination of parental rights to, an Indian child under State law shall satisfy the court that active efforts have been made to provide remedial services and rehabilitative programs designed to prevent the breakup of the Indian family and that these efforts have proven unsuccessful.” 25 U.S.C. § 1912(d).

39. ICWA requires state courts to apply federal substantive rules of decision and federal procedural requirements in state law causes of action that result in state-law judgments.

40. ICWA requires foster care placement and termination of parental rights proceedings, in the absence of good cause to the contrary, to be transferred to tribal courts for an Indian child, even if he or she is not domiciled or residing on the reservation. 25 U.S.C. § 1911(b).

41. ICWA commands state courts to grant mandatory intervention to an Indian custodian and the child’s tribe at any point in the proceedings. 25 U.S.C. § 1911(c).

42. ICWA prohibits the termination of parental rights for an Indian child in the absence of “evidence beyond a reasonable doubt, including testimony of qualified expert witnesses, that continued custody of the child by the parent or Indian custodian is likely to result in serious emotional or physical damage to the child.” 25 U.S.C. § 1912(f). The BIA is not required to pay for the services of expert witnesses. 25 C.F.R. § 23.81.

43. ICWA dictates when a parent or Indian custodian may consent to a foster care placement or termination of parental rights, “[a]ny consent given prior to, or within ten days after, birth of the Indian child shall not be valid.” 25 U.S.C. § 1913(a). “Any parent or Indian custodian may withdraw consent to a foster care placement under State law at any time and, upon such withdrawal, the child shall be returned to the parent or Indian custodian.” *Id.* § 1913(b). And “[i]n any

voluntary proceeding for termination of parental rights to, or adoptive placement of, an Indian child, the consent of the parent may be withdrawn for any reason at any time prior to the entry of a final decree of termination or adoption, as the case may be, and the child shall be returned to the parent.” *Id.* § 1913(c).

44. ICWA permits the parent of an Indian child to withdraw consent to a final decree of adoption on the grounds that the consent was obtained through fraud or duress, and upon finding fraud or duress, a state court must vacate the final decree and return the child to the parent. The parent may withdraw consent based on fraud or duress for up to two years after the final judgment of adoption. 25 U.S.C. § 1913(d).

45. ICWA places record-keeping duties on state agencies and courts.

46. State agencies and courts must maintain records demonstrating their compliance with the statute. “A record of each such placement, under State law, of an Indian child shall be maintained by the State in which the placement was made, evidencing the efforts to comply with the order of preference specified in this section. Such record shall be made available at any time upon the request of the Secretary or the Indian child’s tribe.” 25 U.S.C. § 1915(e).

47. State courts must maintain records and report to the Indian child his or her tribal affiliation once that child reaches age eighteen. “Upon application by an Indian individual who has reached the age of eighteen and who was the subject of an adoptive placement, the court which entered the final decree shall inform such individual of the tribal affiliation, if any, of the individual’s biological parents and provide such other information as may be necessary to protect any rights flowing from the individual’s tribal relationship.” 25 U.S.C. § 1917.

48. State courts entering final decrees or orders in an Indian child adoption case must provide the Secretary with a copy of the decree or order, along with the name and tribal affiliation

of the child, names of the biological parents, names of the adoptive parents, and the identity of any agency having files or information relating to the adoption. 25 U.S.C. § 1951.

49. Failure to comply with ICWA may result in final child-custody orders or placements to be overturned on appeal or by another court of competent jurisdiction. 25 U.S.C. § 1914.

50. ICWA ensures state agencies and courts comply with its mandates by enabling any Indian child who is the subject of any action for foster care placement or termination of parental rights under State law, any parent or Indian custodian from whose custody the child was removed, and the Indian child's tribe to petition any court of competent jurisdiction to invalidate a state court's decision for failure to comply with ICWA sections 1911, 1912, and 1913. 25 U.S.C. § 1914.

51. Congress further coerces ICWA compliance by requiring states who receive child welfare services program funding through Title IV-B of the Social Security Act to file annual reports detailing their compliance with ICWA. According to Title IV-B:

(a) In order to be eligible for payment under this subpart, a State must have a plan for child welfare services which has been developed jointly by the Secretary and the State agency designated pursuant to subsection (b)(1), and which meets the requirements of subsection (b).

(b) Each plan for child welfare services under this subpart shall— . . . (9) contain a description, developed after consultation with tribal organizations (as defined in section 4 of the Indian Self-Determination and Education Assistance Act) in the State, of the specific measures taken by the State to comply with the Indian Child Welfare Act.

42 U.S.C. § 622.

C. The 1979 BIA Guidelines

52. Soon after ICWA's enactment, the BIA promulgated "Guidelines for State Courts; Indian Child Custody Proceedings" (the "1979 Guidelines") that were intended to assist the implementation of ICWA, but were "not intended to have binding legislative effect." 44 Fed. Reg.

67,584, 67,584 (Nov. 26, 1979). The 1979 Guidelines recognized that “[p]rimary responsibility” for interpreting ICWA “rests with the courts that decide Indian child custody cases.” *Id.* The 1979 Guidelines emphasized that “the legislative history of the Act states explicitly that the use of the term ‘good cause’ was designed to provide state courts with flexibility in determining the disposition of a placement proceeding involving an Indian child.” *Id.*

53. As state courts applied ICWA in the ensuing decades, most held that the “good cause” exception to ICWA’s placement preferences requires a consideration of the child’s best interests, including any bond or attachment the child had formed with her current caregivers. *See, e.g., In re Interest of Bird Head*, 331 N.W.2d 785, 791 (Neb. 1983); *In re Appeal in Maricopa Cty. Juvenile Action No. A-25525*, 667 P.2d 228, 234 (Ariz. Ct. App. 1983); *In re Adoption of T.R.M.*, 525 N.E.2d 298, 307–08 (Ind. 1988); *In re Adoption of M.*, 832 P.2d 518, 522 (Wash. Ct. App. 1992); *In re Adoption of F.H.*, 851 P.2d 1361, 1363–64 (Alaska 1993); *In re Interest of A.E., J.E., S.E., and X.E.*, 572 N.W.2d 579, 583–85 (Iowa 1997); *People ex rel. A.N.W.*, 976 P.2d 365, 369 (Colo. Ct. App. 1999); *In re Interest of C.G.L.*, 63 S.W.3d 693, 697–98 (Mo. Ct. App. 2002); *In re Adoption of Baby Girl B.*, 67 P.3d 359, 370–71 (Okla. Ct. App. 2003); *but see Yavapai–Apache Tribe v. Mejia*, 906 S.W.2d 152 (Tex. App.—Houston [14th Dist.] Aug. 24, 1995, no pet.).

54. Other state courts, developing and applying the “existing Indian family doctrine,” limited ICWA’s application to circumstances where the child had some significant political or cultural connection to the tribe. *See, e.g., In re Interest of S.A.M.*, 703 S.W.2d 603, 608–09 (Mo. Ct. App. 1986); *Claymore v. Serr*, 405 N.W.2d 650, 653–54 (S.D. 1987); *In re Adoption of T.R.M.*, 525 N.E.2d 298, 303 (Ind. 1988); *Hampton v. J.A.L.*, 658 So. 2d 331, 335 (La. Ct. App. 1995); *Rye v. Weasel*, 934 S.W.2d 257, 261–64 (Ky. 1996); *In re Santos Y.*, 112 Cal. Rptr. 2d 692, 716 n.16 (Cal. App. 2001); *In re Morgan*, No. 02A01-9608-CH-00206, 1997 WL 716880, at *1 (Tenn.

Ct. App. Nov. 19, 1997); *Ex parte C.L.J.*, 946 So. 2d 880 (Ala. Civ. App. 2006); *In re N.J.*, 221 P.3d 1255, 1264–65 (Nev. 2009). The existing Indian family doctrine is premised, in part, on the significant equal protection concerns that would arise if ICWA applied to children with no political or cultural connection to a tribe based solely on the child’s ancestry. *See In re Bridget R.*, 49 Cal. Rpt. 2d 507, 527–29 (Cal. App. 1996); *cf. Adoptive Couple*, 133 S. Ct. at 2565 (noting that interpreting ICWA’s parental termination provisions as applicable in any case where a child has an Indian ancestor, “even a remote one, . . . would raise equal protection concerns”).

D. The Final Rule

55. In June 2016, almost four decades after ICWA’s passage, the BIA promulgated *Indian Child Welfare Act Proceedings*, 81 Fed. Reg. 38,778 (June 14, 2016) (the “Final Rule”) (codified at 25 C.F.R. pt. 23). The Final Rule purports to “clarify the minimum Federal standards governing implementation of the Indian Child Welfare Act” and to ensure that the Act “is applied in all States consistent with the Act’s express language.” 25 C.F.R. § 23.101.

56. The Department characterizes the Final Rule as a “legislative rule” that “set[s] binding standards for Indian child-custody proceedings in State courts” and is “entitled to *Chevron* deference.” 81 Fed. Reg. at 38,782, 38,786, 38,788.

57. The Final Rule provides the “minimum Federal standards governing implementation” of ICWA, 25 C.F.R. § 23.101, and “to ensure compliance with ICWA,” *id.* § 23.106(a).

58. The Final Rule requires state agencies and courts to conduct Executive Branch investigations and duties.

59. The Final Rule requires “State courts [to] ask each participant in an emergency or voluntary or involuntary child-custody proceeding whether the participant knows or has reason to know that the child is an Indian child.” 25 C.F.R. § 23.107(a). These inquiries “should be on the

record,” and “State courts must instruct the parties to inform the court if they subsequently receive information that provides reason to know the child is an Indian child.” *Id.*

60. When the state agency or court believes the child is an Indian child, the court must confirm, through “a report, declaration, or testimony included in the record,” that the state agency or other party used due diligence to identify and work with all of the tribes of which there is reason to know the child may be a member (or eligible for membership). 25 C.F.R. § 23.107(b). The Final Rule specifies that the state court must confirm that the state agency conducted a “diligent search . . . to find suitable placements meeting the preference criteria.” *Id.* § 23.132(c)(5).

61. The Final Rule dictates to state agencies and courts when and how notice of an involuntary foster care placement or termination of parental rights proceeding involving an Indian child must be provided to an Indian tribe, the child’s parents, and the child’s Indian custodian. 25 C.F.R. § 23.111. The Final Rule prohibits the continuation of foster care placement or termination of parental rights proceedings in state courts until at least 10 days after receipt of the notice by the parent or Indian custodian and by the tribe or Secretary of the Interior. 25 C.F.R. § 23.112. Upon request, the state court must grant the parent, Indian custodian, or tribe up to 20 additional days from the date upon which notice was received to prepare for the hearing. *Id.*

62. The Final Rule prescribes how a state court may proceed with an emergency removal or placement of an Indian child, including when to hold a hearing, how to notify the Indian child’s custodians, how to make a court record of the proceedings, what evidence must be provided to the court, and when to end the proceeding. 25 C.F.R. § 23.113.

63. In an involuntary foster care or termination of parental rights proceeding, the Final Rule requires state courts to ensure and document that the state agency has used “active efforts” to prevent the breakup of the Indian family. 25 C.F.R. § 23.120.

64. The Final Rule defines “active efforts” to include “assisting the parent or parents or Indian custodian through the steps of a case plan and with accessing or developing the resources necessary to satisfy the case plan.” 25 C.F.R. § 23.2. “To the maximum extent possible, active efforts should be provided in a manner consistent with the prevailing social and cultural conditions and way of life of the Indian child’s Tribe and should be conducted in partnership with the Indian child and the Indian child’s parents, extended family members, Indian custodians, and Tribe.” *Id.*

65. State agencies must tailor active efforts to the facts and circumstances of the case, which may include, for example:

- (1) Conducting a comprehensive assessment of the circumstances of the Indian child’s family, with a focus on safe reunification as the most desirable goal;
- (2) Identifying appropriate services and helping the parents to overcome barriers, including actively assisting the parents in obtaining such services;
- (3) Identifying, notifying, and inviting representatives of the Indian child’s Tribe to participate in providing support and services to the Indian child’s family and in family team meetings, permanency planning, and resolution of placement issues;
- (4) Conducting or causing to be conducted a diligent search for the Indian child’s extended family members, and contacting and consulting with extended family members to provide family structure and support for the Indian child and the Indian child’s parents;
- (5) Offering and employing all available and culturally appropriate family preservation strategies and facilitating the use of remedial and rehabilitative services provided by the child’s Tribe;
- (6) Taking steps to keep siblings together whenever possible;
- (7) Supporting regular visits with parents or Indian custodians in the most natural setting possible as well as trial home visits of the Indian child during any period of removal, consistent with the need to ensure the health, safety, and welfare of the child;
- (8) Identifying community resources including housing, financial, transportation, mental health, substance abuse, and peer support services and actively assisting the Indian child’s parents or, when appropriate, the child’s family, in utilizing and accessing those resources;
- (9) Monitoring progress and participation in services;

(10) Considering alternative ways to address the needs of the Indian child's parents and, where appropriate, the family, if the optimum services do not exist or are not available;

(11) Providing post-reunification services and monitoring.

25 C.F.R. § 23.2.

66. The Final Rule requires state courts to apply federal substantive rules of decision and federal procedural requirements in state law causes of action that result in state-law judgments.

67. Only the Indian tribe of which it is believed the child is a member (or eligible for membership) may determine whether the child is a member of the tribe or eligible for membership.

25 C.F.R. § 23.108(a). "The State court may not substitute its own determination regarding a child's membership in a Tribe, a child's eligibility for membership in a Tribe, or a parent's membership in a Tribe." *Id.* § 23.108(b).

68. When an Indian child is a member or eligible for membership in only one tribe, that tribe must be designated as the Indian child's tribe. But when the child meets the definition of "Indian child" for more than one tribe, then the Final Rule instructs state agencies and courts to defer to "the Tribe in which the Indian child is already a member, unless otherwise agreed to by the Tribes," or allow "the Tribes to determine which should be designated as the Indian child's Tribe." *Id.* § 23.109(b)–(c). Only when the tribes disagree about the child's membership may the state courts designate the tribe to which the child belongs, and the Final Rule provides criteria the courts must use in making that designation. *Id.* § 23.109(c)(2).

69. The Final Rule instructs state courts that they must dismiss a voluntary or involuntary child-custody proceeding when the Indian child's residence or domicile is on a reservation where the tribe exercises exclusive jurisdiction over child-custody proceedings. 25 C.F.R. § 23.110(a).

70. The Final Rule requires state courts to terminate child-custody proceedings if any party or the court has reason to believe that the Indian child was improperly removed from the custody of his parent or Indian custodian. 25 C.F.R. § 23.114.

71. The Final Rule instructs state agencies and courts on how to transfer proceedings to tribal courts. The parent, Indian custodian, or the Indian child's tribe may request transfer at any time, orally or in writing. 25 C.F.R. § 23.115. The Final Rule then requires the state court to promptly notify the tribal court in writing of the transfer petition, and it must transfer the proceeding, unless either parent objects, the tribal court declines the transfer, or good cause exists for denying the transfer. 25 C.F.R. § 23.116–117. The Final Rule establishes when good cause exists to deny the transfer. 25 C.F.R. § 23.118. If the tribal court accepts the transfer, the Final Rule instructs that the state court should expeditiously provide the tribal court with all records related to the proceeding. 25 C.F.R. § 23.119.

72. The Final Rule prohibits state courts from ordering a foster-care placement of an Indian child unless clear and convincing evidence is presented, including expert testimony, demonstrating that the child is in serious emotional or physical danger in the parent's or Indian custodian's custody. 25 C.F.R. § 23.121(a).

73. The Final Rule prohibits state courts from terminating parental rights for an Indian child unless evidence beyond a reasonable doubt is presented, including expert testimony, that the child is in serious emotional or physical danger. 25 C.F.R. § 23.121(b). The evidence must demonstrate a causal relationship between the conditions in the home and the likelihood of danger to the child. 25 C.F.R. § 23.121(c)–(d). The Final Rule prohibits the state agency caseworker from serving as an expert witness, and dictates that the Indian child's tribe will designate the expert witness. 25 C.F.R. § 23.122.

74. In voluntary child-custody proceedings, the Final Rule mandates that state courts require the participants to state on the record whether the child is an Indian child, or whether they have reason to believe the child is an Indian child. 25 C.F.R. § 23.124(a). “If there is reason to believe the child is an Indian child, the State court must ensure that the party seeking placement has taken all reasonable steps to verify the child’s status,” including “contacting the Tribe of which it is believed the child is a member (or eligible for membership and of which the biological parent is a member) to verify the child’s status.” *Id.* § 23.124(b).

75. The Final Rule describes what evidence a state court may consider when evaluating the voluntary consent for termination of parental rights, foster care, preadoptive, and adoptive placement by a parent or Indian custodian. 25 C.F.R. § 23.125. For foster care placement, consent may be withdrawn at any time. 25 C.F.R. § 23.125(b)(2)(i). For termination of parental rights and adoption, consent may be withdraw any time prior to the final decree of termination or adoption. 25 C.F.R. § 23.125(b)(2)(ii)–(iii). Consent given prior to, or within 10 days after, the birth of an Indian child is not valid. 25 C.F.R. § 23.125(e). The Final Rule also dictates what information written consent must contain, 25 C.F.R. § 23.126, and how a parent or custodian may withdraw consent, 25 C.F.R. § 23.127–28.

76. The Final Rule requires state agencies and courts to follow placement preferences based on the child’s Indian parentage.

77. In adoptive placements “preference must be given in descending order . . . to placement of the child with: (1) A member of the Indian child’s extended family; (2) Other members of the Indian child’s Tribe; or (3) Other Indian families.” 25 C.F.R. § 23.130(a).

78. “If the Indian child’s Tribe has established by resolution a different order of preference than that specified in ICWA, the Tribe’s placement preferences apply.” *Id.* § 23.130(b).

79. In other words, in adoptive placement proceedings, the tribe designated as the Indian child's tribe may enact a resolution that prefers placement with another Indian family of another tribe, even if the Indian child has extended family with which he or she may be placed.

80. In foster care or preadoptive placement proceedings, "preference must be given . . . to placement of the child with: (1) A member of the Indian child's extended family; (2) A foster home that is licensed, approved, or specified by the Indian child's Tribe; (3) An Indian foster home licensed or approved by an authorized non-Indian licensing authority; or (4) An institution for children approved by an Indian Tribe or operated by an Indian organization which has a program suitable to meet the child's needs." 25 C.F.R. § 23.131(b).

81. "If the Indian child's Tribe has established by resolution a different order of preference than that specified in ICWA, the Tribe's placement preferences apply, so long as the placement is the least-restrictive setting appropriate to the particular needs of the Indian child . . ." *Id.* § 23.131(c).

82. In other words, in foster care and preadoptive placement proceedings, the tribe designated as the Indian child's tribe may enact a resolution that prefers placement with an institution for children approved by another Indian organization, even if the Indian child has extended family with which he or she may be placed.

83. A state court may depart from the placement preferences contained in sections 23.130–131 of the Final Rule if there is "good cause." 25 C.F.R. § 23.132. The Final Rule prescribes circumstances in which the "good cause" standard is met. *Id.*

84. After observing that "State courts . . . differ as to what constitutes 'good cause' for departing from ICWA's placement preferences," 81 Fed. Reg. at 38,782, the Final Rule newly mandates that "[t]he party urging that the ICWA preferences not be followed bears the burden of

proving *by clear and convincing evidence* the existence of good cause” to deviate from such a placement. 81 Fed. Reg. at 38,838 (emphasis added); *see also* 25 C.F.R. § 23.132(b). Though the Final Rule says that its regulations “do not categorically require” that state courts apply a clear-and-convincing standard of proof—the regulation itself says that a party seeking departure from the placement preferences “should bear the burden of proving by clear and convincing evidence that there is good cause,” 25 C.F.R. § 23.132(b)—the Final Rule simultaneously says the clear-and-convincing standard “should be followed.” 81 Fed. Reg. at 38,843.

85. The Final Rule also expressly repudiates the Existing Indian Family doctrine. *See* 81 Fed. Reg. at 38,802 (“[T]here is no [Existing Indian Family] exception to the application of ICWA.”). Accordingly, the Final Rule provides that state courts “may not consider factors such as the participation of the parents or Indian child in Tribal cultural, social, religious, or political activities, the relationship between the Indian child and his or her parents, whether the parent ever had custody of the child, or the Indian child’s blood quantum.” 81 Fed. Reg. at 38,868 (codified at 25 C.F.R. § 23.103(c)).

86. And contrary to the idea—previously embraced by the BIA—that “the use of the term ‘good cause’ was designed to provide state courts with flexibility in determining the disposition of a placement proceeding involving an Indian child,” 44 Fed. Reg. 67,584, 67,584 (Nov. 26, 1979), the Final Rule now claims that “Congress intended the good cause exception to be narrow and limited in scope,” 81 Fed. Reg. at 38,839. Accordingly, the Final Rule sets forth “five factors upon which courts may base a determination of good cause to deviate from the placement preferences,” and further “makes clear that a court may not depart from the preferences based on the socioeconomic status of any placement relative to another placement or based on the ordinary

bonding or attachment that results from time spent in a non-preferred placement that was made in violation of ICWA.” 81 Fed. Reg. at 38,839; *see also* 25 C.F.R. § 23.132(c)–(e).

87. The BIA threatens enforcement of the Final Rule through the invalidation of child-custody proceedings involving Indian children that do not follow ICWA or the Final Rule’s requirements.

88. The Final Rule requires state courts to vacate adoption decrees, up to two years after their issuance, if the parents of the Indian child file a petition to vacate the order. 25 C.F.R. § 23.136. By contrast, in Texas, “the validity of an adoption order is not subject to attack after six months after the date the order was signed.” Tex. Fam. Code § 162.012.

89. If an Indian child has been adopted, the state court must notify the child’s biological parent or prior Indian custodian and the child’s tribe whenever the final adoption decree has been vacated or set aside or the adoptive parent has voluntarily consented to the termination of parental rights. 25 C.F.R. § 23.139.

90. Once an Indian child reaches age 18, the state court that entered the final adoption decree must inform that person of his or her tribal affiliation. 25 C.F.R. § 23.138.

91. Whenever a state court enters a final adoption decree or an order in a voluntary or involuntary Indian child placement, the Final Rule requires the state court or designated state agency to provide a copy of the decree or order to the BIA within 30 days along with biographical information about the child, the biological parents, the adoptive parents, the state agency possessing information about the child, and information about tribal membership of the child. 25 C.F.R. § 23.140.

92. The Final Rule requires states to “maintain a record of every voluntary or involuntary foster-care, preadoptive, and adoptive placement of an Indian child and make the record available within 14 days of a request by an Indian child’s Tribe or the Secretary.” 25 C.F.R. § 23.141.

II. FACTS RELEVANT TO THE INTERESTS OF PLAINTIFFS

A. A.L.M.’s Adoption Proceedings

93. A.L.M. was born in Arizona to M.M. and J.J., an unmarried couple. A.L.M. is an “Indian child” as that term is defined in the Final Rule because he is eligible for membership in an Indian tribe, his biological mother is an enrolled member of the Navajo Nation, and his father is an enrolled member of the Cherokee Nation. *See* 25 C.F.R. § 23.2.

94. A.L.M. has been living with Chad and Jennifer Brackeen for more than 16 months and, with the support of A.L.M.’s biological parents and his paternal grandmother, the Brackeens sought to become his adoptive parents. Because of the Final Rule and Section 1915 of ICWA, the Brackeens are prevented from adopting a child they raised for more than half his life, and A.L.M. is threatened with separation from both his prospective adoptive and biological families.

95. A few days after A.L.M.’s birth, his birth mother took A.L.M. to Fort Worth, Texas to live with A.L.M.’s paternal grandmother. In June 2016, when A.L.M. was ten months old, Child Protective Services (“CPS”), a division of the Texas DFPS, removed him from his grandmother and placed him in the foster care of the Brackeens. A.L.M. was identified as an “Indian child” within the meaning of ICWA, and as required by the Final Rule, 25 C.F.R. § 23.11, both the Cherokee Nation and the Navajo Nation were notified of A.L.M.’s placement with the Brackeens.

96. Texas DFPS, the Cherokee Nation, and the Navajo Nation were unable to identify an ICWA-preferred foster placement for A.L.M., and he remained with the Brackeens.

97. The Brackeens have raised A.L.M. for over 16 months and regard him as a member of their family.

98. The parental rights of A.L.M.'s biological parents were terminated on May 2, 2017, and he is free to be adopted under Texas law.

99. In June 2017, a year after the Brackeens took custody of A.L.M., the Navajo Nation submitted a letter to the family court suggesting they had located a potential alternative placement for A.L.M. with non-relatives in New Mexico.

100. On July 19, 2017, the Brackeens brought an original petition to adopt A.L.M. in the 323rd District Court, Tarrant County, Texas.

101. In accordance with the requirements of the Final Rule, *see* 25 C.F.R. § 23.11, the Cherokee and Navajo Nations were notified of the adoption proceeding.

102. Neither the Navajo Nation nor the prospective alternative placement located by the Navajo Nation intervened in the Texas adoption proceeding or otherwise formally sought to adopt A.L.M. The Brackeens are the only persons before the Texas family court seeking to adopt A.L.M.

103. On August 1, 2017, the family court held a hearing regarding the Brackeens' petition for adoption.

104. At the August 1, 2017 hearing, the Navajo Nation's social worker testified that the two tribes "came up with [an] agreement" among themselves in the hallway prior to the hearing to determine the designation of A.L.M.'s tribe. The tribes ultimately decided to designate the Navajo Nation as A.L.M.'s tribe, but this "determination of [A.L.M.'s] Tribe for purposes of ICWA and [the Final Rule] do[es] not constitute a determination for any other purpose." 25 C.F.R. § 23.109(c)(3).

105. The Brackeens argued that ICWA's placement preferences did not apply in their adoption case because they were the only party before the family court formally seeking to adopt A.L.M., *see Adoptive Couple*, 133 S. Ct. at 2564, and that, in any event, good cause existed to

depart from ICWA's preferences for placing A.L.M. with an Indian family because A.L.M.'s biological parents wanted him to be adopted by the Brackeens, and an expert in developmental psychology testified that A.L.M. will suffer severe emotional and psychological harm if he is removed from the Brackeens' care.

106. Although ICWA does not define "good cause," the Final Rule requires the Brackeens—who were the only party to the proceeding seeking adoption—to "bear the burden of proving by clear and convincing evidence" that there was "good cause" to allow them, as a non-Indian couple, to adopt A.L.M. 25 C.F.R. § 23.132(b).

107. To establish good cause, the Brackeens presented the testimony of A.L.M.'s biological parents, who each testified that they reviewed the placement options and preferred A.L.M.'s adoption by the Brackeens. *See* 25 C.F.R. § 23.132(c)(1). A.L.M.'s biological mother testified that A.L.M. "loves [the Brackeens]." A.L.M.'s biological father testified that the Brackeens are "the only parents [A.L.M.] knows." In addition, A.L.M.'s paternal grandmother also requested that A.L.M. remain with the Brackeens, testifying that they "have been the primaries in his life." A.L.M.'s court-appointed guardian recommended that A.L.M. remain with the Brackeens. Other witnesses testified that taking A.L.M. from the Brackeens would also separate him from his biological family, with whom he currently has regular contact. Finally, the Brackeens presented an expert in psychology who concluded that the Brackeens and A.L.M. were strongly emotionally bonded, and that taking A.L.M. from his family would likely cause significant emotional and physiological harm that could last for many years. The expert further testified that A.L.M. is particularly at risk for severe emotional and psychological harm due to trauma he experienced in his infancy before he was placed with the Brackeens, and that he is "four to six times

more likely” to experience that harm if he is removed from his home to live with strangers in New Mexico.

108. Texas DFPS did not dispute that the Brackeens were fit parents to adopt A.L.M., or otherwise suggest any reason unrelated to ICWA why the Brackeens’ petition to adopt A.L.M. should be denied. Texas DFPS maintained, however, that notwithstanding the fact that the Brackeens were the only parties that had petitioned to adopt A.L.M., ICWA’s placement preferences applied and could be overcome only upon a showing of “good cause.” To rebut the Brackeens’ showing of “good cause,” Texas DFPS pointed to the Final Rule’s clear-and-convincing standard of proof, arguing that the Brackeens did not satisfy the heightened showing required to justify a departure from the placement preferences.

109. On August 22, 2017, the family court entered an order denying the Brackeens’ adoption petition.

110. Although the Court acknowledged that “Petitioners are the only party before the Court seeking adoption,” it concluded that “25 U.S.C. § 1915 preferences are applicable,” and that “preference shall be given to other members of the child’s tribe.” Order Denying Request for Adoption of Child, *In the Interest of A.L.M.*, No. 323-105593-17 (323rd Distr. Ct., Tarrant County, Tex. Aug. 22, 2017), ¶ 5. The Court held that the Brackeens “did not meet their burden under” the Final Rule, 25 C.F.R. § 23.132 (which imposes the “clear and convincing evidence” burden on the prospective adoptive parents), to “show good cause to depart from” ICWA’s preferences. *Id.*

111. Shortly after the family court denied the Brackeens’ petition for adoption, Texas DFPS, applying the placement preferences applicable to foster-care and preadoptive placements, *see* 25 C.F.R. § 23.131, stated its intention to immediately move A.L.M. to the Navajo Nation’s proposed placement in New Mexico.

112. The Brackeens sought an emergency order staying any change in placement pending appeal. Texas appeared as *amicus curiae* in support of the Brackeens' stay request, arguing that ICWA violates the right of equal protection of the laws under the United States Constitution.

113. On September 8, 2017, the family court entered a temporary order staying any change in placement pending the outcome of the Brackeens' appeal to the Texas Second District Court of Appeals, Fort Worth, Texas, holding that such an order was necessary and appropriate to protect A.L.M.'s safety and welfare during the pendency of the appeal. *See* Tex. Fam. Code § 109.001 (“[T]he court may make any order necessary to preserve and protect the safety and welfare of the child during the pendency of an appeal as the court may deem necessary and equitable.”).

114. In accordance with the Final Rule's provisions concerning preadoptive and foster-care placements, Texas DFPS stated its intention to move A.L.M. to the Navajo Nation's proposed placement with non-relatives in New Mexico if the family court's ruling is affirmed.

115. In anticipation of a favorable ruling on appeal, Texas DFPS proposed that, during the pendency of the appeal, it take A.L.M., without either of the Brackeens, to New Mexico for “transitional” overnight visits with the Navajo Nation's proposed alternative placement.

116. The Brackeens are directly and deeply aggrieved by the Final Rule and ICWA because these provisions concerning adoptive placements are currently being applied to delay and perhaps deny altogether their adoption of A.L.M.

117. The Brackeens are further aggrieved by the Final Rule and ICWA because certain provisions concerning foster-care and preadoptive placements are currently being applied to threaten to sever the Brackeens' relationship with A.L.M. Most immediately, the Brackeens are threatened with the prospect of having A.L.M. removed from them for overnight “transitional”

visits with the Navajo Nation's alternative placement—a threat that exists only because of the Final Rule and ICWA.

118. The Brackeens are further injured by the Final Rule and ICWA because they are forced to expend substantial sums of money to litigate issues concerning the Final Rule's and ICWA's placement preferences in state court beyond the resources needed to adopt A.L.M. were he not an Indian child within the meaning of the Final Rule and ICWA.

119. Until their petition to adopt A.L.M. was denied, the Brackeens intended to provide foster care for, and possibly adopt, additional children in need. Because of their experience with the Final Rule and ICWA, the Brackeens are reluctant to provide a foster home for other Indian children in the future knowing that their family and the child's life could be subject to severe disruption because of ICWA and the Final Rule. ICWA and the Final Rule therefore interfere with the Brackeens' intention and ability to provide a home to additional children as well. This, in turn, damages Texas by limiting the supply of available, qualified homes necessary to provide support for children in need.

B. The Impact of ICWA and the Final Rule on Texas

120. Three federally recognized tribes exist in Texas: Ysleta del Sur Pueblo (a.k.a., Tigua) in El Paso, Texas; Kickapoo Tribe of Texas in Eagle Pass, Texas; and Alabama-Coushatta Tribe of Texas near Livingston, Texas. The Kickapoo and Alabama-Coushatta tribes have reservations in Texas.

121. In 2010, the U.S. Census Bureau reported that the population of American Indian and Alaska Native persons living in Texas exceeded 315,000. *See* U.S. Census Bureau, *The American Indian and Alaska Native Population: 2010* at 7, Table 2, American Indian and Alaska Native

Population for the United States, Regions, and States, and for Puerto Rico: 2000 and 2010 (Jan. 2012), *available at* <https://www.census.gov/prod/cen2010/briefs/c2010br-10.pdf>.

122. In 2010, the U.S. Census Bureau reported that California and Oklahoma had the largest American Indian and Alaska Native populations, over 723,000 and 482,000, respectively. *Id.* at 6–7. New Mexico had a population of over 219,000 American Indian and Alaska Native persons. *Id.* at 7.

123. Given the three federally recognized tribes within Texas’s borders, Texas’s shared borders with Oklahoma and New Mexico, and the trend of Californians moving to Texas,¹ Texas maintains frequent and ongoing contact with Native Americans.

124. Texas possesses sovereign authority over family law issues within its borders. *Sosna*, 419 U.S. at 404.

125. ICWA and the Final Rule place significant responsibilities and costs on Texas agencies and courts to carry out federal Executive Branch directives.

126. Texas DFPS handles several Indian child cases every year.

127. Texas DFPS is authorized to file suits affecting the parent-child relationship, Tex. Fam. Code § 262.001, and in some circumstances take possession of a child without a court order, *id.* § 262.104.

128. ICWA and the Final Rule affect each and every child-custody matter handled by Texas DFPS and Texas courts because DFPS must first determine if the child is an Indian child.

129. Texas law requires Texas DFPS and Texas courts to act in the best interest of the child in foster care, preadoptive, and adoptive proceedings.

¹ Katey Psencik, “Everyone is moving to Texas, according to new report,” *Austin American-Statesman*, Jan. 31, 2017, *available at* <http://austin.blog.statesman.com/2017/01/05/everyone-is-moving-to-texas-according-to-new-report>.

130. ICWA and the Final Rule replace Texas's best-interest-of-the-child standard with one that mandates racial or ethnic preferences.

131. Texas law prohibits Texas DFPS and Texas courts from using racial preferences in foster care, preadoptive, and adoptive proceedings. Tex. Fam. Code §§ 162.015, 264.1085. While Texas law exempts ICWA cases from these nondiscrimination rules, the public policy of Texas is to prohibit racial or ethnic discrimination in foster care placements and adoptions. But for ICWA, Texas courts would apply Texas law to ICWA cases.

132. In an adoption proceeding, Texas DFPS and Texas courts must give preference, in the absence of good cause to the contrary, to placement of an Indian child with (1) a member of the child's extended family; (2) other members of the Indian child's tribe; or (3) other Indian families. 25 U.S.C. § 1915(a).

133. In a foster care or preadoptive proceeding, Texas DFPS and Texas courts give preference, in the absence of good cause to the contrary, to placing the child with (1) a member of the child's extended family; (2) a foster home specified by the Indian tribe; (3) an Indian foster home; or (4) an institution for children approved by the Indian tribe or operated by an Indian tribe. 25 U.S.C. § 1915(b).

134. ICWA requires Texas DFPS and Texas courts to follow a resolution by the Indian child's tribe to alter the order of preferences related to the child's placement in any foster care or adoption proceeding, even if Texas and the Constitution do not recognize that tribe as an equally footed sovereign deserving full faith and credit. 25 U.S.C. § 1915(c).

135. ICWA and the Final Rule require CPS to undertake additional responsibilities, inquiries, and costs in every child-custody matter it handles.

136. The CPS Handbook contains DFPS's policies and procedures for compliance with ICWA and the Final Rule. A true and correct copy of the relevant sections of the CPS Handbook is attached as Exhibit 1 to the Complaint.

137. Section 1225 of the CPS Handbook states: "CPS policy requires workers in every abuse or neglect case to determine whether a child or the child's family has Native American ancestry or heritage. If Native American ancestry is claimed, CPS workers are required to follow specific procedure to ensure compliance with ICWA." Ex. 1, Texas DFPS, CPS Handbook § 1225, *available at* https://www.dfps.state.tx.us/handbooks/CPS/Files/CPS_pg_1200.asp#CPS_1225.

138. Section 5340 of the CPS Handbook provides that "[i]f a DFPS lawsuit involves a Native American child, the Indian Child Welfare Act (ICWA) applies and the legal requirements change dramatically." Texas DFPS, CPS Handbook § 5340, *available at* https://www.dfps.state.tx.us/handbooks/CPS/Files/CPS_pg_5300.asp#CPS_5340.

139. Even though ICWA does not apply in every case, CPS case workers must "*inquire* about Native American history in *every* case." *Id.* (emphasis in original).

140. Sections 5840–5844 of the CPS Handbook instruct DFPS caseworkers on when and how to apply ICWA and the Final Rule to child custody matters.

141. Section 5841 of the CPS Handbook notes that "[f]ailure to comply with the ICWA can result in a final order being reversed on appeal." Texas DFPS, CPS Handbook § 5841, *available at* https://www.dfps.state.tx.us/handbooks/CPS/Files/CPS_pg_5800.asp#CPS_5840.

142. Texas DFPS caseworkers must "routinely ask[] families whether they are Native American; document[] the families' responses; and consult[] with the attorney representing DFPS and the regional attorney, if the caseworker believes that a case may involve a Native American child." *Id.*

143. Section 5844 of the CPS Handbook provides that if an Indian child “is taken into DFPS custody, almost every aspect of the social work and legal case is affected.” Texas DFPS, CPS Handbook § 5844, *available at* https://www.dfps.state.tx.us/handbooks/CPS/Files/CPS_pg_5800.asp#CPS_5840. If ICWA applies, the legal burden of proof for removal, obtaining any final order terminating parental rights, and restricting a parent’s custody rights is higher; DFPS must serve the child’s parent, tribe, Indian custodian, and the BIA with a specific notice regarding ICWA rights, DFPS and its caseworkers “must make active efforts to reunify the child and family”; the child must be placed according to ICWA statutory preferences; expert testimony on tribal child and family practices may be necessary; and a valid relinquishment of parental rights requires a parent to appear in court and a specific statutory procedure, just to name a few. *Id.*

144. Texas DFPS caseworkers must fill out and submit Form 1706 for approval in any ICWA matter. A true and correct copy of Form 1706 is attached as Exhibit 2 to this Complaint. *See* Texas DFPS Form 1706, Indian Child Welfare Act Checklist, *available at* <https://www.dfps.state.tx.us/Application/FORMS/showFile.aspx?Name=1706.doc>.

145. DFPS Form 1706 requires CPS case workers to: (1) assess possible Indian child status during the initial interview of every child and family it encounters, and every time an additional family member is located; (2) contact Texas DFPS lawyers regarding possible ICWA cases and consult with them regularly throughout the case; (3) modify removal of custody affidavits to include ICWA information; (4) verify that any foster or adoptive placement follows ICWA’s preferences unless the tribe alters those preferences or the court finds good cause not to alter them; (5) send membership query letters to each identified tribe in every Indian child case; (6) send notice of pending custody proceedings involving Indian children to each parent, any Indian custodian, each identified tribe, the Secretary of Interior, and the BIA area director; (7) send notice to the

Secretary of Interior and the BIA area director if any parent, custodian, or tribe is unknown or cannot be located; (8) contact the tribe by telephone and fax if CPS receives no response to the formal notice; (9) file notices with proof of service in the relevant court; (10) make active efforts to preserve the Indian family by conferring with tribal social workers and document the services provided; and (11) consult with DFPS attorneys regarding ICWA requirements for in court procedures.

146. Texas DFPS promulgated Appendices 1226-A and 1226-B of the CPS Handbook, which contain guidelines and checklists for CPS staff, to ensure Texas complies with ICWA and the Final Rule. *See* Ex. 1, Texas DFPS, CPS Handbook, Appendix 1226-A: Child-Placing Requirements of the Indian Child Welfare Act and Related Guidelines and Regulations, *available at* https://www.dfps.state.tx.us/handbooks/CPS/Files/CPS_px_1226a.aspaafd; Ex. 2, Texas DFPS, CPS Handbook, Appendix 1226-B: Checklist for Compliance with the Indian Child Welfare Act, *available at* https://www.dfps.state.tx.us/handbooks/CPS/Files/CPS_px_1226b.asp.

147. In voluntary child-custody proceedings, if the child is an Indian child, Texas courts must ensure that the party seeking placement, often Texas DFPS, has taken “all reasonable steps” to verify the child’s status. 25 C.F.R. § 23.124.

148. ICWA and the Final Rule require Texas courts to perform federal Executive Branch functions, such as gathering and distributing information for the federal government.

149. Texas judges must ask, on the record, each participant at the commencement of child-custody proceedings whether the person knows or has reason to know the child is an Indian child and to instruct the parties to inform the court of any such information that arises later. 25 C.F.R. § 23.107(a). If the Texas court believes the child is an Indian child, it must document and confirm that Texas DFPS (1) used due diligence to identify and work with all of the tribes that

may be connected to the child and (2) conducted a diligent search to find suitable placements meeting the preference criteria for Indian families. *Id.* §§ 23.107(b), 23.132(c)(5).

150. ICWA and the Final Rule require Texas DFPS and Texas courts to maintain indefinitely records of placements involving Indian children, and subject those records to inspection by the Secretary and the child's Indian tribe at any time. 25 U.S.C. §§ 1915(e), 1917; 25 C.F.R. §§ 23.140–41. This increases costs for Texas DFPS and Texas courts which have to maintain additional records not called for under Texas law and hire or assign additional employees to maintain these records indefinitely.

151. ICWA and the Final Rule require Texas courts and Texas DFPS to provide various forms of notification to individuals and entities potentially impacted by a child-custody matter above and beyond what they are required to do under Texas law. This means Texas DFPS and Texas courts must spend additional money to comply with ICWA by hiring and training additional employees, and creating and publishing notifications, just to name a few things.

152. ICWA and the Final Rule (1) require Texas DFPS to notify an Indian custodian and a tribe of its absolute right to intervene in the proceedings of an Indian child bearing that tribe's heritage; (2) require Texas courts to grant the Indian custodian or tribe additional time to prepare for such proceedings; (3) require Texas DFPS to satisfy the Texas courts of active efforts to provide remedial services and rehabilitative programs to keep the family together; and (4) require proof beyond a reasonable doubt that serious emotional or physical damage to the child will result if parental rights are not terminated. 25 U.S.C. §§ 1911–1912; 25 C.F.R. § 23.111–23.121. Texas law does not similarly provide for these rights and responsibilities, and permits the termination of parental rights based on clear and convincing evidence. Tex. Fam. Code §§ 161.001(b), 161.206.

153. Texas law requires Texas DFPS to make reasonable efforts in child-custody proceedings, but ICWA requires “active efforts.” This substantially changes the cost and burden imposed on Texas DFPS. For example, when referring a family or parent to services, reasonable efforts means providing a referral, but leaving the family to seek out assistance on their own, while the active efforts required by ICWA means arranging services and helping families engage in those services.

154. Texas courts must notify an Indian child’s biological parents, prior Indian custodian, and tribe if a final adoption decree is vacated. 25 C.F.R. § 23.139.

155. Texas courts must affirmatively notify the Indian child once he or she reaches age eighteen of his or her tribal affiliation, increasing costs of maintaining records and resources to keep track of children for nearly 20 years of their lives in some cases. 25 C.F.R. § 23.138.

156. ICWA section 1911(c) and the Final Rule change the rules of civil procedure for Texas state family courts, by dictating that an Indian child’s custodian and the child’s tribe must be granted mandatory intervention. Texas Rule of Civil Procedure 60 permits Texas courts to strike the intervention of a party upon a showing of sufficient cause by another party, but ICWA prevents application of this standard for child-custody cases involving Indian children.

157. Texas DFPS and Texas courts must defer to the decisions of the child’s Indian tribe when evaluating membership or eligibility for membership. 25 C.F.R. §§ 108–09.

158. In a termination of parental rights proceedings, ICWA requires evidence beyond a reasonable doubt, and requires Texas DFPS to hire expert witnesses at the State’s expense. 25 U.S.C. § 1912(f). Texas law requires only clear and convincing evidence. Tex. Fam. Code §§ 161.001(b), 161.206

159. ICWA and the Final Rule purport to override Texas law with respect to when a parent may voluntarily consent to relinquish parental rights. Texas law permits voluntary relinquishment of parental rights 48 hours after birth, Tex. Fam. Code § 161.103(a)(1), but ICWA and the Final Rule prohibit any consent until 10 days after birth, 25 U.S.C. § 1913(a); 25 C.F.R. § 23.125(e).

160. ICWA purports to override Texas law with respect to revocable voluntary relinquishment of parental rights. Texas law permits revocable and irrevocable voluntary relinquishment of parental rights. If the relinquishment is revocable, the revocation must be made before the eleventh day after the revocation affidavit is executed. Tex. Fam. Code § 161.103(b)(10). ICWA alters this Texas law by permitting revocation of consent for foster care at any time, 25 U.S.C. § 1913(b), and revocation of voluntary termination of parental rights any time prior to entry of a final decree of termination, *id.* § 1913(c).

161. ICWA significantly alters how long a final adoption decree may be challenged. Under ICWA, a Texas court must vacate a final decree of adoption involving an Indian child and return the child to the parent if the parent of the child withdraws consent to the final adoption decree on the grounds that the consent was obtained through fraud or duress. The parent may withdraw consent based on fraud or duress for up to two years after the adoption. 25 U.S.C. § 1913(d); 25 C.F.R. § 23.136. This conflicts directly with Texas law, which provides that an adoption order is subject to direct or collateral attack only up to six months after the date the order was signed by the court. Tex. Fam. Code § 162.012(a); *Goodson v. Castellanos*, 214 S.W.3d 741, 748–49 (Tex. App.—Austin 2007, pet. denied). It also betrays the Texas common law principle that the best interest of the child is to reach a final child-custody decision so that adoption to a stable home or return to the parents is not unduly delayed. *In re M.S.*, 115 S.W.3d at 548.

162. ICWA permits the invalidation, by another court of competent jurisdiction, of a Texas court's final child-custody order if Texas DFPS or Texas courts did not comply with ICWA. 25 U.S.C. § 1914.

163. Thus, ICWA requires Texas DFPS and Texas family courts to undertake additional responsibilities, actions, and costs when caring for an Indian child, and provides Indian custodians and tribes additional procedural protections not expressly afforded other parties to the proceedings.

164. For example, Texas must spend time and money on Texas DFPS caseworkers searching for extended family members of the Indian child, contacting those persons, and consulting with them on the case.

165. Texas DFPS also must hire expert witnesses, identified by the Indian child's tribe, to testify in the foster care and termination of parental rights proceedings.

166. Texas also must spend money transporting Indian children to their parents or Indian custodian, and to their trial homes. In the case of the Brackeens and A.L.M., Texas DFPS believes ICWA requires it to transport A.L.M. to New Mexico to visit the ICWA-mandated family. Due to A.L.M.'s age, Texas DFPS will need to transport caseworkers with A.L.M. to New Mexico. These same financial responsibilities fall on Texas in all ICWA cases.

167. The requirement that Texas maintain records for nearly 20 years in some child-custody cases and provide various forms of notification to the federal government and potential ICWA parties, requires additional money and personnel dedicated to compliance. A good example of this is the CPS Handbook, which dedicates several sections and appendices to documenting and describing how Texas DFPS and Texas courts must comply with ICWA.

168. If Texas failed to comply with ICWA, it would risk losing Social Security Act funding for child welfare services, which would threaten the elimination of many important social services.

CLAIMS ALLEGED BY ALL PLAINTIFFS

COUNT I

VIOLATION OF ADMINISTRATIVE PROCEDURE ACT

(Not in Accordance with Law – Equal Protection, Tenth Amendment, Article I)

169. Plaintiffs incorporate previous paragraphs as if fully restated here.

170. Defendants are “agencies” under the APA, 5 U.S.C. § 551(1), and the Final Rule complained of herein is a “rule” under the APA, *id.* § 551(4), and constitutes “[a]gency action made reviewable by statute and final agency action for which there is no other adequate remedy in a court,” *id.* § 704.

171. The APA prohibits agency actions that are “not in accordance with law.” 5 U.S.C. § 706(2)(A). The Final rule is not in accordance with law for a number of independent reasons.

172. The Final Rule violates the APA because the placement preference regime contained in the unit entitled “Dispositions,” 25 C.F.R. § 23.129 *et seq.*, violates the Brackeens’ and citizens of Texas’s equal protection rights under the Fifth Amendment of the United States Constitution. *Bolling v. Sharpe*, 347 U.S. 497, 498–99 (1954). The Final Rule imposes a naked preference for “Indian families” over families of any other race; the Final Rule puts non-Indian families who wish to adopt an “Indian child” to the extraordinary burden of demonstrating good cause to depart from the placement preferences by clear and convincing evidence, while any Indian family would enjoy a presumption that the adoption is in the child’s best interests. The Final Rule’s classification of Indians and non-Indians, and its discrimination against non-Indians, is based on race and ancestry and violates the constitutional guarantee of equal protection.

173. The Final Rule further violates the APA because the placement preference regime contained in the unit entitled “Dispositions,” 25 C.F.R. § 23.129 *et seq.*, unlawfully discriminates against “Indian children” (as defined by the Final Rule) in violation of the Constitution’s guarantee of equal protection, by subjecting them to a heightened risk of a placement that is contrary to their best interests and based solely on their race and ancestry. Under Texas law, a child’s placement generally will be made in accordance with his or her best interests. But the Final Rule’s placement preferences, its new restriction on evidence that can be considered as a part of an analysis “good cause” for departing from those preferences, and the new regulation providing that good cause should be shown by clear and convincing evidence combine to substantially increase the risk that an Indian child will be placed in accordance with the placement preferences even when that placement would be contrary to his best interests. This burden applies to Indian children solely by dint of their or their parents’ membership in an Indian tribe—eligibility that often (as in this case) turns on blood quantum. The Final Rule thus discriminates against Indian children in State child-custody proceedings in violation of equal protection principles under the Fifth Amendment.

174. The Final Rule further violates the APA because the adoption and foster-care and pre-adoptive placement of “Indian children”—the topics regulated by the unit of the Final Rule entitled “Dispositions,” 25 C.F.R. § 23.129 *et seq.*,—are not permissible subjects of regulation under the Tenth Amendment. The Final Rule claims that federal regulation of the placement of Indian children is authorized by the Indian Commerce Clause. *See* 81 Fed. Reg. at 38,789; *see also* 25 U.S.C. § 1901(1). But children are not articles of commerce, nor can their placement be said to substantially affect commerce with Indian nations. *See Adoptive Couple*, 133 S. Ct. at 2566–70 (Thomas, J., concurring). The Final Rule therefore is not a valid exercise of federal authority and is unconstitutional.

175. The Final Rule further violates the APA because the Final Rule regulates the placement of Indian children not directly, but through Texas’s government in violation of the Tenth Amendment. The Final Rule makes this plain when it purports to issue minimum federal standards for “placement of an Indian child *under State law*.” 25 C.F.R. §§ 23.130(a), 23.131(a) (emphasis added). And it is not just the portion of Texas’s child-custody regulatory regime administered by Texas courts that the Final Rule commandeers. The Final Rule also demands that Texas apply the placement preferences in foster-care and preadoptive placements, which, in Texas at least, are administered in part by Texas DFPS. Even when Congress has power to regulate under one of its enumerated powers, the Federal government cannot require a Texas agency or official to administer a federal regulatory program. *United States v. Printz*, 521 U.S. 898, 935 (1997) (“The Federal Government may neither issue directives requiring the States to address particular problems, nor command the States’ officers, or those of their political subdivisions, to administer or enforce a federal regulatory program.”). The Final Rule thus violates the anti-commandeering principle under the Tenth Amendment and is unconstitutional.

176. The Final Rule further violates the APA because the Final Rule delegates to Indian tribes the legislative and regulatory power to pass resolutions in each Indian child custody proceeding that alter the placement preferences state courts must follow in violation of Article I of the Constitution. The Constitution provides that “[a]ll legislative powers herein granted shall be vested in a Congress of the United States, which shall consist of a Senate and House of Representatives.” U.S. Const. art. 1, § 1. The Constitution authorizes Congress “[t]o make all Laws which shall be necessary and proper for carrying into Execution” its general powers. U.S. Const. art. 1, § 8. The Constitution bars Congress and the BIA from delegating to others the essential legislative and

administrative functions with which they are vested. The Final Rule thus violates the non-delegation doctrine of Article I, section 1 of the Constitution and is unconstitutional.

177. The APA prohibits agency actions that are “arbitrary, capricious, [or] an abuse of discretion.” 5 U.S.C. § 706(2)(A). The Final Rule is arbitrary and capricious agency action for a number of reasons, including that it is an unexplained and unsupported departure from the position adopted in the 1979 Guidelines and held by the defendants for nearly forty years.

178. The Final Rule further violates the APA because its provision that “[t]he party seeking departure from the placement preferences should bear the burden of proving by clear and convincing evidence that there is ‘good cause’ to depart from the placement preferences,” 81 Fed. Reg. at 38,874 (codified at 25 C.F.R. § 23.132(b)), is contrary to Section 1915 of ICWA and is arbitrary and capricious.

179. The Final Rule further violates the APA in that its limitation on the evidence that may be considered in the analysis of “good cause,” *see* 25 C.F.R. § 23.132(c)–(e), is contrary to Section 1915 of ICWA and is arbitrary and capricious.

180. The Brackeens are directly aggrieved by the Final Rule’s application to their petition to adopt A.L.M., its application to their preadoptive placement of A.L.M., its imposition of an immediate threat that A.L.M. will be taken for out-of-state, overnight “transitional” visits, and by its imposition of substantial burdens (both time and money) in connection with their effort to adopt A.L.M.

181. Texas is directly aggrieved by the Final Rule’s application to each and every child-custody proceeding in Texas, and, particularly, to those proceedings in which Texas DFPS or a Texas court discovers that the child is an Indian child as defined by ICWA. The Final Rule imposes a substantial burden on Texas through the expenditure of resources and money in connection with

its efforts to comply with the Final Rule for each child-custody proceeding. And because of the Final Rule's burden, it necessarily limits prospective foster and adoptive parents so clearly needed to care for children, as now demonstrated by the Brackeens' reluctance to foster additional Indian children.

182. Plaintiffs have no adequate remedy at law to redress the burdens now being imposed by Final Rule because claims arising under the APA cannot be litigated in Texas courts.

183. This Court should declare the Final Rule invalid and set it aside.

COUNT II
VIOLATION OF ARTICLE I OF THE CONSTITUTION
(Commerce Clause)

184. Plaintiffs incorporate previous paragraphs as if fully restated here.

185. The Commerce Clause of the United States Constitution provides: "The Congress shall have Power . . . To regulate Commerce with foreign Nations, and among the several States, and with the Indian tribes." U.S. Const. art. I, § 8, cl. 3.

186. At the time the original Constitution was ratified, commerce consisted of selling, buying, and bartering, as well as transporting for these purposes. *See Adoptive Couple*, 133 S. Ct. at 2567 (Thomas, J., concurring).

187. At the time the original Constitution was ratified, the Indian Commerce Clause was intended to include "trade with Indians." *See Adoptive Couple*, 133 S. Ct. at 2567 (Thomas, J., concurring).

188. The Indian Commerce Clause provides Congress with the power to regulate commerce with Indian tribes, but not any Indian person. *See Adoptive Couple*, 133 S. Ct. at 2567 (Thomas, J., concurring).

189. ICWA locates Congress’s authority for the statute in the Indian Commerce Clause. *See* 25 U.S.C. § 1901(1).

190. Children are not articles of commerce, nor can their placement be said to substantially affect commerce with Indian nations. *See Adoptive Couple*, 133 S. Ct. at 2566–70 (Thomas, J., concurring).

191. No other enumerated power supports Congress’s intrusion into this area of traditional state authority.

192. ICWA §§ 1901–1923 & 1951–1952 are therefore unconstitutional under the Commerce Clause of Article I.

193. The Brackeens are directly, personally, and substantially injured by § 1915’s placement preferences and the provisions of the Final Rule purporting to implement those preferences because they are causing delay, and perhaps denial, of their adoption of A.L.M., they are the basis for the presently threatened severance of their familial relationship with A.L.M., they are Texas DFPS’s basis for threatening to take A.L.M. on overnight, out-of-state “transitional” visits, and because they are requiring the Brackeens to expend substantial resources in an effort to demonstrate “good cause” to depart from them.

194. Texas is directly aggrieved by ICWA §§ 1901–1923 & 1951–1952 because those provisions require Texas DFPS and Texas courts to carry out the policy objectives of the federal government and execute the federal government’s regulatory framework for Indian child in child-custody proceedings. Texas must abide by ICWA in each and every child-custody proceeding, and, particularly, to those proceedings in which Texas DFPS and Texas courts discover that the child is an Indian child as defined by ICWA. ICWA imposes a substantial burden on Texas through

the expenditure of resources and money in connection with its efforts to comply with ICWA for each child-custody proceeding.

195. Plaintiffs have no adequate remedy at law to redress the injuries they are suffering because of ICWA.

196. Plaintiffs thus seek a declaration that Sections 1901–1923 & 1951–1952 of ICWA violate Article I of the United States Constitution and are unconstitutional and unenforceable, and an injunction barring the Defendants from implementing or administering that provision by regulation, guideline, or otherwise.

**COUNT III
VIOLATION OF THE TENTH AMENDMENT
(Domestic Relations & Anti-Commandeering)**

197. Plaintiffs incorporate previous paragraphs as if fully restated here.

198. The Tenth Amendment to the United States Constitution provides: “The powers not delegated to the United States by the Constitution, nor prohibited by it to the States, are reserved to the States respectively, or to the people.” U.S. Const. amend. X.

199. ICWA sections 1901–1923 and 1951–1952 are not permissible subjects of regulation under the Tenth Amendment.

200. ICWA’s provisions concerning the adoption and foster-care and pre-adoptive placement of “Indian children” are not permissible subjects of regulation under the Tenth Amendment.

201. Since the adoption of the Constitution, family law and domestic relations have been regarded as being within the virtually exclusive province of the States. *Sosna v. Iowa*, 419 U.S. 393, 404 (1975); *Ohio ex rel. Popovici v. Agler*, 280 U.S. 379, 383–84 (1930).

202. Adoption proceedings are adjudicated exclusively in state family courts.

203. Foster-care and preadoptive placements also are administered exclusively by States; in Texas, they are administered in the first instance by Texas DFPS.

204. ICWA locates Congress's authority for the statute in the Indian Commerce Clause. 25 U.S.C. § 1901(1). The Indian Commerce Clause grants Congress the authority "[t]o regulate commerce . . . with the Indian tribes." U.S. Const. art. I, § 8, cl. 3.

205. Children are not articles of commerce, nor can their placement be said to substantially affect commerce with Indian nations. *See Adoptive Couple*, 133 S. Ct. at 2566–70 (Thomas, J., concurring).

206. No other enumerated power supports Congress's intrusion into this area of traditional state authority.

207. ICWA's provisions concerning the adoption and foster-care and pre-adoptive placement of "Indian children" are unconstitutional under the Tenth Amendment because they regulate the placement of Indian children not directly, but through State governments. Even when Congress has power to regulate under one of its enumerated powers, the Federal government cannot require a State agency or official to administer a federal regulatory program. *United States v. Printz*, 521 U.S. 898, 935 (1997) ("The Federal Government may neither issue directives requiring the States to address particular problems, nor command the States' officers, or those of their political subdivisions, to administer or enforce a federal regulatory program.").

208. ICWA thus violates the anti-commandeering principle under the Tenth Amendment and is unconstitutional.

209. ICWA impermissibly commands state governments to administer adoptions and foster-care and preadoptive placements according to Congress's instructions. Here, ICWA commanded the Texas state family court to apply ICWA's placement preferences under 25 U.S.C.

§ 1915(a) to the Brackeens' petition for adopt A.L.M. And it further commands Texas DFPS to make a foster-care or pre-adoptive placement of A.L.M. in accordance with the placement preferences of 25 U.S.C. § 1915(b). ICWA impermissibly commandeers state governments and therefore is unconstitutional.

210. ICWA section 1911, 1912, and 1913, and the Final Rule alter the content of Texas law by requiring Texas courts to apply federal substantive rules of decision and federal procedural requirements in state law causes of action that result in state law judgments that form part of the corpus of state law.

211. ICWA section 1911 impermissibly alters Texas's rules of procedure for foster care placement and termination of parental rights proceedings with federal rules of decision. ICWA grants an Indian custodian of a child and the child's tribe mandatory intervention at any point in the proceedings.

212. ICWA section 1912 and the Final Rule increase the standard for termination of parental rights for an Indian child to "evidence beyond a reasonable doubt" and demand expert witness testimony.

213. ICWA section 1913 and the Final Rule impermissibly command state governments and courts to change their laws and rules respecting when and how a parent of an Indian child or Indian custodian may give voluntary consent to foster care placement or termination of parental rights.

214. ICWA section 1913 also impermissibly commands state courts to allow for revocation of voluntary termination of parental rights any time prior to the final decree of termination.

215. The Final Rule dictates what Texas DFPS and Texas courts must do in cases of emergency removal or placement of an Indian child.

216. The Final Rule prohibits Texas DFPS and courts from making a determination as to an Indian child's membership status with a tribe. Texas must defer to the membership determination of the tribe.

217. The Final Rule commands Texas courts to allow the invalidation of child-custody proceeding final orders for up to two years, which is eighteen months beyond what is permitted under Texas law. Tex. Fam. Code § 162.012.

218. ICWA requires Texas DFPS and Texas courts to undertake administrative actions of the federal government.

219. ICWA sections 1911 and 1912 and the Final Rule require state agencies and courts to notify potential intervenors about a proceeding, send copies of the notices to Defendants, and suspend proceedings for at least 10 days.

220. The Final Rule commands state government agencies and state courts to inquire about Indian child status throughout child-custody proceedings.

221. ICWA section 1912 and the Final Rule require Texas DFPS to use "active efforts" to prevent the breakup of an Indian family.

222. The Final Rule requires Texas courts to confirm that Texas DFPS used "due diligence" to work with all of the tribes in which the child may be a member and conducted a "diligent search" for tribal placement of the child.

223. ICWA sections 1915, 1917, and 1951 and the Final Rule demand that Texas DFPS, Texas courts, and other States collect information and perform record-keeping functions for the federal government for child-custody proceedings involving Indian children.

224. ICWA is unconstitutional under the Tenth Amendment for the additional reason that it violates the equal footing doctrine and the Full Faith and Credit Clause of the Constitution.

225. The Constitution provides that “Full Faith and Credit shall be given in each State to the public Acts, Records, and judicial Proceedings of every other State.” U.S. Const., Art. IV, § 1. The “Full Faith and Credit Clause does not compel ‘a state to substitute the statutes of other states for its own statutes dealing with a subject matter concerning which it is competent to legislate.’” *Franchise Tax Bd. of Cal. v. Hyatt*, 538 U.S. 488, 494 (2003) (citations omitted).

226. The Full Faith and Credit Clause extends only between States, not States and Indian tribes. *Wilson v. Marchington*, 127 F.3d 805 (9th Cir. 1997). “The equal footing clause has long been held to refer to political rights and to sovereignty. . . . The requirement of equal footing was designed not to wipe out those diversities but to create parity as respects political standing and sovereignty.” *United States v. Texas*, 339 U.S. 707, 716 (1950) (internal citations and quotation marks omitted).

227. ICWA demands that Texas and other States defer to the resolutions of tribes altering the ICWA placement preferences for Indian children, even though those tribes are not on equal footing with the States and do not deserve full faith and credit under Article IV.

228. The Final Rule requires Texas DFPS and Texas courts to transfer child-custody matters to tribal courts when the Indian parent, custodian, or tribe requests it, even though those tribes are not on equal footing with the States and do not deserve full faith and credit under Article IV.

229. ICWA is unconstitutional for the additional reason that it violates the Guarantee Clause of Article IV, section 4 of the Constitution, which guarantees to every State a republican form of government. The Guarantee Clause provides that Congress may not interfere with states’ autonomy to such an extent that it prevents them from enjoying untrammelled self-government. States are “endowed with all the functions essential to separate and independent existence.” *Lane*

Cty. v. Oregon, 74 U.S. 71, 76 (1868). A separate and independent state judiciary is an indispensable element of a republican form of government that Congress may not invade.

230. Texas courts adjudicate family law and domestic relations cases, including child custody proceedings. ICWA violates the Tenth Amendment by removing the guarantee that Texas provide a republican form of government to its citizens, including an independent judiciary that may develop its own substantive law within the areas of responsibility granted it by the United States and Texas Constitutions.

231. The Brackeens are directly, personally, and substantially injured by § 1915 of ICWA and the provisions of the Final Rule purporting to implement it because they are causing delay, and perhaps denial, of their adoption of A.L.M., they are the basis for the presently threatened severance of their familial relationship with A.L.M., they are Texas DFPS's basis for threatening to take A.L.M. on overnight, out-of-state "transitional" visits, and because they are requiring the Brackeens to expend substantial resources in an effort to demonstrate "good cause" to depart from them.

232. Texas is directly aggrieved by ICWA's and the Final Rule's commandeering of State power because they require Texas DFPS and Texas courts to carry out the directives and policy objectives of the federal government and execute the federal government's regulatory framework for Indian child in child-custody proceedings. Texas must abide by ICWA in each and every child-custody proceeding, and, particularly, to those proceedings in which Texas DFPS and Texas courts discover that the child is an Indian child as defined by ICWA. ICWA imposes a substantial burden on Texas through the expenditure of resources and money in connection with its efforts to comply with ICWA and the Final Rule for each child-custody proceeding.

233. Plaintiffs have no adequate remedy at law to redress the injuries they are suffering because of ICWA.

234. Plaintiffs thus seek a declaration that Sections 1901–1923 and 1951–1952 of ICWA violate the Tenth Amendment and are unconstitutional and unenforceable, and an injunction barring the Defendants from implementing or administering that provision by regulation, guideline, or otherwise.

**COUNT IV
VIOLATION OF THE FIFTH AMENDMENT
(Equal Protection)**

235. Plaintiffs incorporate previous paragraphs as if fully restated here.

236. The Due Process Clause of the Fifth Amendment mandates the equal treatment of people of all races without discrimination or preference. *Bolling v. Sharpe*, 347 U.S. 497, 498–99 (1954).

237. ICWA defines an “Indian child” as an “unmarried person who is under age eighteen and is either (a) a member of an Indian tribe or (b) is eligible for membership in an Indian tribe and is the biological child of a member of an Indian tribe.” 25 U.S.C. § 1903(4).

238. ICWA classifies A.L.M. as an “Indian child.”

239. ICWA classifies many children in Texas DFPS custody and care as Indian children.

240. The Brackeens are not an “Indian family” within the meaning of ICWA.

241. Many prospective foster parents and adoptive parents in Texas are not “Indian families” within the meaning of ICWA.

242. ICWA’s placement preferences applicable to an adoption or pre-adoptive placement of an “Indian child,” 25 U.S.C. § 1915(a)–(b), impose a naked preference for “Indian families” over families of any other race and puts non-Indian families who wish to adopt an “Indian

child” to the burden of demonstrating good cause to depart from the placement preferences, while any Indian family would enjoy a presumption that the adoption or pre-adoptive placement is in the child’s best interests. ICWA’s classification of Indians and non-Indians, and its discrimination against non-Indians, is based on race and ancestry and violates the constitutional guarantee of equal protection.

243. The Brackeens are directly, personally, and substantially injured by ICWA’s placement preferences because they are causing delay, and perhaps denial, of their adoption of A.L.M., they are the basis for the presently threatened severance of their familial relationship with A.L.M., they are Texas DFPS’s basis for threatening to take A.L.M. on overnight, out-of-state “transitional” visits, and because they are requiring the Brackeens to expend substantial resources in an effort to demonstrate “good cause” to depart from them.

244. Texas, on behalf of itself, its residents, parents, and the children in its care, is directly aggrieved by ICWA’s placement preferences because they require Texas DFPS and Texas courts to violate the Equal Protection Clause of the Fifth Amendment and Texas law, which prohibit racial preferences in child-custody proceedings. ICWA requires Texas to carry out the racially discriminatory policy objectives of the federal government and execute the federal government’s discriminatory framework against potential foster and adoptive parents who wish to care for Indian children. Texas must abide by ICWA in each and every child-custody proceeding, and, particularly, to those proceedings in which Texas DFPS and Texas courts discover that the child is an Indian child as defined by ICWA. ICWA imposes a substantial burden on Texas through the expenditure of resources and money in connection with its efforts to comply with Section 1915(a) and Section 1915(b) for each child-custody proceeding.

245. Plaintiffs have no adequate remedy at law to redress the injuries they are suffering because of ICWA.

246. Plaintiffs thus seek a declaration that Section 1915(a) and Section 1915(b) of ICWA violate principles of equal protection and are unconstitutional and unenforceable, and an injunction barring the Defendants from implementing or administering that provision by regulation, guideline, or otherwise.

CLAIMS ALLEGED BY THE BRACKEENS

**COUNT V
VIOLATION OF ADMINISTRATIVE PROCEDURE ACT
(Not in Accordance with Law – Substantive Due Process)**

247. Plaintiffs incorporate previous paragraphs as if fully restated here.

248. Defendants are “agencies” under the APA, 5 U.S.C. § 551(1), and the Final Rule complained of herein is a “rule” under the APA, *id.* § 551(4), and constitutes “[a]gency action made reviewable by statute and final agency action for which there is no other adequate remedy in a court,” *id.* § 704.

249. The APA prohibits agency actions that are “not in accordance with law.” 5 U.S.C. § 706(2)(A).

250. In addition to the reasons set forth in Count I of this Complaint, the Final Rule further violates the APA because the placement preference regime contained in the unit entitled “Dispositions,” 25 C.F.R. § 23.129 *et seq.*, violates the substantive due process rights of non-Indian prospective adoptive couples raising Indian children, and the rights of those Indian children, insofar as it permits—indeed, requires—the disruption of intimate familial relationships without a showing of an adequate state interest to do so. The intimate familial relationship between a prospective adoptive parent and a child is a substantial liberty interest under the Due Process Clause

of the Fifth Amendment that can be vitiated only when necessary to vindicate an important governmental interest. The Final Rule articulates no adequate justification for vitiating an intimate familial relationship between a child and prospective adoptive parents in favor of placement with non-relatives who happen to be “Indian” within the meaning of ICWA, and there is none. The Final Rule thus violates the substantive due process rights under the Fifth Amendment of the prospective adoptive parents of an Indian child, and the rights of that Indian child.

251. The Final Rule further violates the APA because the placement preference regime contained in the unit entitled “Dispositions,” 25 C.F.R. § 23.129 *et seq.*, violates the substantive due process rights of non-Indian prospective adoptive couples raising Indian children—and the rights of those Indian children—by excluding from the “good cause” analysis bonding and attachment resulting from their relationship if that relationship later is determined to be “in violation of ICWA.” 25 C.F.R. § 23.132(e). The intimate familial relationship between a prospective adoptive parent and a child is a substantial liberty interest under the Due Process Clause of the Fifth Amendment that can be vitiated only when necessary to vindicate an important governmental interest. Ensuring that prospective adoptive couples are not “reward[ed]” by a state agency’s or state court’s error in failing to comply with ICWA’s and the Final Rule’s many requirements is not an interest of the government sufficiently important to justify disrupting the familial bonds between a prospective adoptive couple and the child they are raising. Therefore, the Final Rule’s categorical exclusion from consideration of an Indian child’s bonding and attachment when it resulted from a placement later determined to be in violation of ICWA violates the substantive due process component of the Fifth Amendment.

252. The Brackeens are directly aggrieved by the Final Rule’s application to their petition to adopt A.L.M., its application to their preadoptive placement of A.L.M., its imposition of an

immediate threat that A.L.M. will be taken for out-of-state, overnight “transitional” visits, and by its imposition of substantial burdens (both time and money) in connection with their effort to adopt A.L.M.

253. The Brackeens have no adequate remedy at law to redress the burdens now being imposed by Final Rule because claims arising under the APA cannot be litigated in state court.

254. This Court should declare the Final Rule invalid and set it aside.

COUNT VI
VIOLATION OF THE FIFTH AMENDMENT
(Due Process—ICWA § 1915)

255. Plaintiffs incorporate previous paragraphs as if fully restated here.

256. The United States has a deeply rooted tradition of honoring intimate family relationships. The Brackeens possess a fundamental right of liberty to intimate familial relationships.

257. ICWA’s placement preferences applicable to an adoption or pre-adoptive placement of an “Indian child,” 25 U.S.C. § 1915(a)–(b), violate the Brackeens’ substantive due process rights. The preferences permit the disruption of their intimate familial relationship with A.L.M. without a showing of an adequate state interest to do so.

258. The Brackeens’ intimate familial relationship with A.L.M. is a substantial liberty interest under the Due Process Clause of the Fifth Amendment that can be vitiated only when necessary to vindicate an important governmental interest.

259. Placing A.L.M. with non-relatives who happen to be members of the Navajo Nation is not narrowly tailored to any important government interest.

260. Unless the existence of an intimate familial relationship such as that which exists between the Brackeens and A.L.M. categorically constitutes “good cause” to depart from the

placement preferences under Section 1915(a) and Section 1915(b), ICWA's placement preferences are unconstitutional under the Due Process Clause of the Fifth Amendment.

261. The Brackeens are directly, personally, and substantially injured by ICWA's placement preferences because they are causing delay, and perhaps denial, of their adoption of A.L.M., they are the basis for the presently threatened severance of their familial relationship with A.L.M., they are Texas DFPS's basis for threatening to take A.L.M. on overnight, out-of-state "transitional" visits, and they are requiring the Brackeens to expend substantial resources in an effort to demonstrate "good cause" to depart from them.

262. The Brackeens have no adequate remedy at law to redress the injuries they are suffering because of ICWA.

263. The Brackeens thus seek a declaration that Section 1915(a) and Section 1915(b) of ICWA violate principles of substantive due process and are unconstitutional and unenforceable, and an injunction barring the Defendants from implementing or administering those provisions by regulation, guideline, or otherwise.

CLAIMS ALLEGED BY TEXAS

COUNT VII VIOLATION OF ARTICLE I OF THE CONSTITUTION (Non-Delegation Doctrine)

264. Plaintiffs incorporate previous paragraphs as if fully restated here.

265. The Constitution provides that "[a]ll legislative Powers herein granted shall be vested in a Congress of the United States, which shall consist of a Senate and House of Representatives." U.S. Const. art. 1, § 1.

266. The Constitution authorizes Congress "[t]o make all Laws which shall be necessary and proper for carrying into Execution" its general powers. U.S. Const. art. 1, § 8, cl. 18.

267. The Constitution bars Congress from delegating to others the essential legislative functions with which it is vested.

268. ICWA Section 1915(c) and the Final Rule Section 23.130(b) delegate to Indian tribes the legislative and regulatory power to pass resolutions in each Indian child custody proceeding that alter the placement preferences state courts must follow.

269. The Final Rule prohibits Texas DFPS and courts from making a determination as to an Indian child's membership status with a tribe. Texas must defer to the membership determination of the tribe.

270. Texas is directly and substantially injured by the delegation of power over placement preferences because it violates the Constitution's separation of powers through abdication of Congress's legislative responsibility and requires Texas to honor the legislation and regulation passed by tribes in each child-custody matter, which can vary widely from one child to the next and one tribe to another.

271. Texas has no adequate remedy at law to redress the injuries it is suffering under ICWA.

272. Texas thus seeks a declaration that ICWA Section 1915(c) and Final Rule Section 23.130(b) violate Article I, sections 1 and 8, and are unconstitutional and unenforceable, and an injunction barring the Defendants from implementing or administering those provisions by regulation, guideline, or otherwise.

COUNT VIII
VIOLATION OF ARTICLE I OF THE CONSTITUTION
(Spending Clause)

273. Plaintiffs incorporate previous paragraphs as if fully restated here.

274. The Spending Clause of the United States Constitution provides: “The Congress shall have Power To lay and collect Taxes, Duties, Imposts and Excises, to pay the Debts and provide for the common Defence and general Welfare of the United States; but all Duties, Imposts and Excises shall be uniform throughout the United States.” U.S. Const. art. I, § 8, cl. 1.

275. Congress’s spending power, however, is not unlimited. *S. Dakota v. Dole*, 483 U.S. 203, 207 (1987).

276. The Constitution provides that Congress may enact spending conditions that (1) are unambiguous and clearly stated in advance, (2) relate to Congress’s purpose in spending the funds, (3) are not unduly coercive, (4) do not compel state governments to engage in unconstitutional conduct, and (5) promote the general welfare. *Dole*, 483 U.S. at 207–08.

277. ICWA and the Final Rule violate the Spending Clause because they coerce States, like Texas, into complying with their mandates by threatening the withdrawal of child welfare grants under Titles IV-B and IV-E of the Social Security Act. Texas stands to lose substantial funding for child welfare programs if it does not certify compliance with ICWA.

278. ICWA and the Final Rule also violate the Spending Clause because they compel States, like Texas, to engage in unconstitutional conduct. The placement preferences that instruct Texas DFPS and Texas courts to award foster care, preadoptive, and adoptive placements based on racial classifications, *i.e.*, the Indian child’s race, violate the equal protection component of the Fifth Amendment to the United States Constitution.

279. Texas is directly and substantially injured by the coercive nature of ICWA funding and its requirement that Texas violate other provisions of the Constitution to maintain compliance and funding.

280. Texas has no adequate remedy at law to redress the injuries it is suffering under ICWA.

281. Texas thus seeks a declaration that ICWA and the Final Rule violate Article I, section 8 and are unconstitutional and unenforceable, and an injunction barring the Defendants from implementing or administering those provisions by regulation, guideline, or otherwise.

PRAYER FOR RELIEF

Plaintiffs pray that this Court:

1. Declare that the Final Rule violates the APA, hold it invalid, and set it aside;
2. Issue a declaratory judgment that ICWA, 25 U.S.C. §§ 1901–1923, 1951–1952, is unconstitutional and unenforceable;
3. Issue a declaratory judgment that Section 1915(a) and 1915(b) of ICWA are unconstitutional and unenforceable;
4. Issue an injunction prohibiting Defendants from implementing or administering 25 U.S.C. §§ 1901–1923, 1951–1952 by regulation, guidelines, or otherwise;
5. Issue an injunction prohibiting Defendants from implementing or administering Section 1915(a) and 1915(b) of ICWA by regulation, guidelines, or otherwise;
6. Award Plaintiffs costs and reasonable attorneys' fees as appropriate; and
7. Grant such further and other relief as this Court deems just and proper.

Respectfully submitted,

Dated: October 25, 2017

/s/ Rebekah Perry Ricketts

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EXHIBIT 1

- providing for legal representation of children (attorneys or guardian *ad litem*s) in judicial proceedings

Recipients of CAPTA funds, including DFPS, are required to submit a state assurance plan every five years specifying their use, or intended use, of funds. Recipients must also submit notification to the federal government when there are substantive changes in state law and when there are significant changes to how CAPTA funds are being used.

For more information, see:

[Child Abuse Prevention and Treatment Act](#)

The Children's Bureau analysis [CAPTA2003](#)

1225 Indian Child Welfare Act

CPS February 2013

The Indian Child Welfare Act (ICWA) is a federal law aimed at keeping Native American children who are involved in child welfare cases with Native American families. The stated intent of the legislation is to protect the best interests of Native American children and to promote stability amongst Native American families.

ICWA sets federal requirements that apply to state child custody proceedings involving Native American children who are members of, or eligible for membership in, a federally recognized tribe. ICWA establishes standards for removing Native American children from their families and for placing them in foster and adoptive homes. It also allows for a child's tribe to intervene in the legal proceedings.

A child must meet the criteria of an "Indian child" as defined by federal law in order for ICWA to apply. However, CPS policy requires workers in every abuse or neglect case to determine whether a child or the child's family has Native American ancestry or heritage. If Native American ancestry is claimed, CPS workers are required to follow specific procedure to ensure compliance with ICWA.

See:

[Indian Child Welfare Act](#)

[Federally Recognized Tribes](#)

For information on CPS policy related to ICWA, see:

[Form 1706](#) Checklist for Compliance with the Indian Child Welfare Act

For information about the ICWA and DFPS's responsibilities under it, see:

[Appendix 1226-A](#): Child-Placing Requirements of the Indian Child Welfare Act and Related Guidelines and Regulations

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[Appendix 1226-B](#): Checklist for Compliance with the Indian Child Welfare Act

1240 General Eligibility Criteria for Child Protective Services

CPS 96-8

Law

DFPS provides protective services to children as required by the Texas Family Code, Chapters 261 and 262, and the Human Resources Code. DFPS provides services to the families of children receiving protective services under Titles IV-A, IV-B, IV-E, and XX of the Social Security Act, the Intended Use Report, and Chapter 47 of the Human Resources Code.

Texas Family Code Chapters [261](#) , [262](#)

Texas Human Resources Code Ch. 47

Management Policy

To receive child protective services, the child or the child's family must be eligible at the time service is rendered.

The state plans contain the services offered by DFPS. Clients must meet the eligibility criteria for the specific service as detailed in the state plans.

Law

DFPS must not discriminate on the basis of race, color, national origin, sex, religion, or handicap in providing child protective services.

1241 Child Protective Services Priorities

CPS 96-8

Management Policy

DFPS is committed to providing at least minimally adequate protective services to all children who need them. Because of limited resources, however, DFPS must establish priorities for provision of services.

DFPS priorities for child protective services are based on the purposes and objectives of the program. (See Item [1110](#), Purpose and Objectives.) By establishing priorities, DFPS has provided statewide criteria for the types of situations and responsibilities DFPS staff respond to before others. The priorities also indicate which children DFPS is not required to serve and which responsibilities DFPS is not required to perform statewide.

Priorities are subject to change.

If a child leaves the court's geographic jurisdiction, the caseworker must notify the court.

Notification is not required if there is no motivating reason, such as a judge expressing an interest in a particular case.

DFPS Rules, 40 TAC [§700.1107](#)

5332.4 Notifying the Court When Subsequent Removal

CPS December 2013

If DFPS finds it necessary to remove a child who has been returned to the parents and place the child in foster or substitute care, the caseworker must notify the court.

DFPS Rules, 40 TAC [§700.1107](#)

5332.5 Notifying the Court About a Designated Medical Consenter

CPS December 2013

If DFPS is authorized by the court to consent to the medical care of a child in DFPS conservatorship, the caseworker must provide the court with the name of the person designated by DFPS to consent to the child's medical care.

See [11118](#) Notifying the Court of the Designated Medical Consenter.

Texas Family Code [§266.004\(c\)](#)

5340 Indian Child Welfare Act (ICWA)

CPS December 2013

If a DFPS lawsuit involves a Native American child, the Indian Child Welfare Act (ICWA) applies and the legal requirements change dramatically.

The legal requirements related to ICWA are discussed primarily in [5840](#) The Indian Child Welfare Act (ICWA); however, while ICWA requirements do not apply in every case, it is critically important that the caseworker *inquire* about Native American history in *every* case.

The only way to determine whether a child may be a Native American child is to ask available parents, relatives, and children who are old enough to be interviewed whether there is any family history connected to a Native American tribe. The caseworker documents the responses by individual family members, whether a Native American history is reported or denied.

If there is any indication that a child may have a family member or ancestor affiliated with a tribe, the caseworker follows ICWA policies at [5840](#) The Indian Child Welfare Act (ICWA).

5350 Seeking Child Support for Children in Substitute Care

CPS December 2013

Even when DFPS has been appointed as temporary or permanent managing conservator of a child, the parents still have support obligations to their child. DFPS, therefore, has the legal authority and obligation to seek child support and medical support from the parents.

5351 Requesting Child Support From the Parents of Children in DFPS Conservatorship

5351.1 Requirements for Requesting a Court to Order Parents to Provide Support

CPS December 2013

In CPS cases involving a suit affecting but not terminating parental rights, DFPS *must* ask the court to order the parents to provide child support and health insurance.

If the court terminates parental rights, DFPS *may* request that the parents be ordered to provide support.

Texas Family Code, [§154.001](#)

Although it may appear that the parent is financially unable to provide support, the court is the entity that evaluates the parent's ability to pay. Even a small amount of support allows the parent to share the responsibility of providing for the child's care and ensures some level of parental involvement. The court may or may not order support as requested, but it is duty of DFPS to make the request.

The caseworker must inform the parents that DFPS will request that the parents pay child support and health insurance.

DFPS Rules, 40 TAC [§700.1108](#)

5351.2 DFPS's Right to Review Child Support Payments

CPS December 2013

DFPS is entitled to receive all child support paid for a child, as of the date that the child is placed in substitute care.

For more information about working with consular staff, see the [When a Child or Youth in CPS Conservatorship Travels Resource Guide](#) .

5840 The Indian Child Welfare Act (ICWA)

5841 Purpose of ICWA

CPS December 2013

The Indian Child Welfare Act (ICWA) is a federal law that applies to any DFPS case involving an Indian child, as the term is defined by ICWA. See [25 U.S.C. §1901](#) et seq.

Although the law refers to and applies only to an Indian child, as defined by the ICWA, *this policy uses the term Native American where the context allows, because it is accurate and is generally preferred for its recognition of Native American origins.*

The purpose of the ICWA is to preserve Native American tribal cultures (including Native Alaska tribal cultures), by giving legal rights to the children, parents, and tribes protected by this law.

Failure to comply with the ICWA can result in a final order being reversed on appeal.

To avoid having a final order reversed and a child's chance for a permanent home affected, the caseworker:

- routinely asks families whether they are Native American;
- documents the families' responses; and
- consults with the attorney representing DFPS and the regional attorney, if the caseworker believes that a case may involve a Native American child.

5842 Identifying a Native American Child

CPS December 2013

The law defines a Native American child as an unmarried person under age 18 who is either:

- a member of a Native American tribe; or
- eligible for membership in a Native American tribe and the biological child of a tribal member. See [25 U.S.C. §1903\(4\)](#) .

To find out whether a child has Native American family history, the caseworker routinely asks:

- any child old enough to be interviewed;
- any parent of the child who is available to be interviewed; and

- any relatives who are available to be interviewed.

Because key facts about a child's family history may not be available when a case is first investigated, the caseworker routinely asks, throughout the case, about whether a child has Native American family history, especially when new family members are identified.

Whether family members deny or report tribal family history, the caseworker documents the information on:

- the removal affidavit; and
- any reports filed with the court.

For example:

Information about the Child's Native American Status: Mother denies tribal family history; father reports that his great-grandfather may be Sioux. Paternal grandmother says that her husband's family was from the Cherokee tribe in Oklahoma.

If the caseworker obtains information indicating that there is a possible tribal heritage, the caseworker:

- completes [Form 1705](#) Indian Child and Family Questionnaire;
- confers with the regional attorney and attorney representing DFPS as soon as possible; and
- refers to the following CPS policies:

[1225](#) Indian Child Welfare Act

[Appendix 1226-A](#): Child-Placing Requirements of the Indian Child Welfare Act and Related Guidelines and Regulations

[Appendix 1226-B](#): Checklist for Compliance With the Indian Child Welfare Act

As much information must be provided on [Form 1705](#) as possible to determine whether a child is a member of a tribe or is eligible for membership in the tribe.

5843 Decision Regarding Native American Status

CPS December 2013

There are more than 500 federally recognized Native American tribes in the U.S., and children from any one of these tribes may be living in Texas. Three federally recognized tribes have reservations in Texas: the Kickapoo, near Eagle Pass, the Alabama-Coushatta Tribe, near Livingston, and the Ysleta del Sur, also known as Tigua, near El Paso.

Each tribe has its own membership requirements and only the tribe can decide whether a child is a Native American child, as defined by the Indian Child Welfare Act (ICWA).

A child may be a Native American child, even if:

- the child's Native American relative is a distant one;
- the child's parent or grandparent was never enrolled as a tribal member;
- one or both parents are opposed to the tribe being involved;
- the child and family do not observe tribal traditions and practices; or
- the child is not enrolled in the tribe

If there is any indication that a child's family may have a tribal connection, the caseworker gives the relevant information to the tribe and ask that membership or eligibility be confirmed or denied.

5844 Legal Requirements If the ICWA Applies

CPS December 2013

If a Native American child, as defined by the Indian Child Welfare Act (ICWA), is taken into DFPS custody, almost every aspect of the social work and legal case is affected, including as follows:

- The legal burden of proof for removal is higher, as is the legal burden of proof for obtaining any final order terminating parental rights or restricting a parent's custody rights.
- DFPS must serve the child's parents, tribe, Native American caretakers, and the Bureau of Indian Affairs with a specific notice regarding ICWA rights.
- ICWA requires that the caseworker must make active efforts to reunify the child and family.
- The child must be placed according to ICWA statutory preferences.
- Expert testimony on tribal child and family practices may be necessary.
- A valid relinquishment of parental rights requires a parent to appear in court and a specific statutory procedure.

All of these requirements apply to both a Native American parent and a parent who is not Native American.

For a quick reference, see:

- [Form 1700](#) Indian Child Welfare Act Resource Guide
- [Form 1705](#) Indian Child and Family Questionnaire
- [Form 1706](#) Indian Child Welfare Act Checklist

Texas Department of Family and Protective Services

Child Protective Services Handbook

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Appendix 1226-A: Child-Placing Requirements of the Indian Child Welfare Act and Related Guidelines and Regulations

CPS 92-7

I. Introduction

The Indian Child Welfare Act (ICWA) seeks to protect the best interests of eligible Indian children and to promote the stability and security of eligible Indian tribes and families. The ICWA establishes federal standards for the removal of eligible Indian children from their families and for placement of the children in foster or adoptive homes that reflect the unique values of Indian culture. The Act also provides for assistance to eligible Indian tribes to operate child and family service programs.

Three documents govern implementation of the ICWA:

1. The Indian Child Welfare Act (ICWA) of 1978 (PL 95-608)

The ICWA has the force of law without reference to interpretations. However, the Department of the Interior's Bureau of Indian Affairs has interpreted portions of the ICWA in the two documents described below.

2. Guidelines for State Courts: Indian Child-Custody Proceedings (See the *Federal Register*, Volume 44, No. 228/Monday, November 29, 1979)

The Bureau of Indian Affairs issued these guidelines to help courts interpret the portions of the ICWA that courts must implement. Under the ICWA, state courts and tribal courts are responsible for conducting most aspects of Indian child-custody proceedings. Each court must

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establish rules and procedures for carrying out its responsibilities under the ICWA.

The Guidelines for State Courts do not have the force of law. They are intended to help state and tribal courts guarantee rights protected under the ICWA. Courts have the authority to disregard the guidelines when they consider them unnecessary to implementation of the ICWA.

3. Federal Regulations (25 CFR, Part 23)

These regulations govern the Bureau of Indian Affairs's responsibilities under the ICWA.

The ICWA and the guidelines and regulations specified above apply to DFPS, other state agencies, and private child-placing agencies whenever they place eligible Indian children in protective placements covered under the ICWA.

II. Definitions

The following definitions are derived from Section 4 of the ICWA, the Guidelines for State Courts, and the federal regulations specified above. The definitions have been rephrased to match state laws and other requirements governing DFPS services.

A. Indian — Any member of an Indian tribe.

Note: The ICWA and related guidelines and regulations do not include criteria for determining membership in specific Indian tribes. Each tribe is responsible for establishing membership criteria and determining who meets them. Information about tribal membership is available from the tribes themselves and from the Bureau of Indian Affairs. (For information about contacting the Bureau of Indian Affairs, see item K below.)

B. Indian tribe — Any Indian tribe, band, nation, or other organized group or community of Indians that is eligible for services provided to Indians by the Secretary of the Interior.

Note: The ICWA applies to the following tribes in Texas:

1. the Traditional Kickapoo Indians of Texas, who live on land near Eagle Pass in Region 09 (The tribe is part of the Kickapoo Tribe of Oklahoma.);
2. the Alabama-Coushatta Indian tribe and reservation near Livingston in Region 10; and
3. the Tigua Indian tribe and reservation near El Paso in Region 12.

The ICWA also applies to children who are members of federally recognized tribes when the children are in Texas, even though the tribe and reservation are not in Texas. The ICWA does not apply to children who are not members of federally recognized tribes.

C. Indian child — Any person who fits the definition of a child under Chapter 11 of the Texas Family Code (TFC) and who

1. is a member of an Indian tribe; or
2. is both
 - a. eligible for membership in an Indian tribe, and
 - b. the biological child of a member of an Indian tribe.

Note: Part B.1. of the Guidelines for State Courts addresses the determination of eligibility as an Indian child.

D. Indian child's tribe — Either

1. the tribe in which the child is
 - a. a member, or
 - b. eligible for membership; or
2. the tribe with which the child has the most significant contacts, if the child is a member of more than one tribe or is eligible for membership in more than one tribe.

Note: Part B.2. of the Guidelines for State Courts addresses the determination of an Indian child's tribe.

E. Indian parent — Any Indian who fits the definition of a parent under TFC, Chapter 11, including a parent who has adopted a child under tribal law or custom.

F. Extended family member — Either

1. a member of an Indian child's extended family as defined by tribal law or custom; or
2. in the absence of a tribal definition, an adult who is an Indian child's grandparent, aunt, uncle, brother, sister, brother-in-law, sister-in-law, niece, nephew, first or second cousin, or stepparent.

G. Indian custodian — Any Indian

1. who has legal custody of an Indian child under tribal law or custom or under state law; or
2. to whom an Indian child's parent has temporarily transferred the child's physical care, custody, or control.

H. Child-custody proceeding — Any of the following:

1. A suit affecting the parent-child relationship under TFC, Title II, or a judicial proceeding for an Indian status-offender under TFC, Title III, when the suit or proceeding involves a foster care placement, the termination of parental rights, a pre-adoptive placement, or an adoptive placement.

This definition includes proceedings that involve any action in which

- an Indian child is removed from his parent or Indian custodian for any length of time, and
 - the parent or custodian does not have the right to return of the child upon demand.
2. An affidavit of relinquishment of parental rights executed under TFC, §15.03, with respect to an Indian child.
 3. The voluntary placement of an Indian child by the child's parents.

Note: The term "child-custody proceeding" does **not** apply to

- a divorce proceeding, parental separation, or similar action in which the child is placed in the managing conservatorship of one of the parents; or
- a delinquency proceeding under TFC, Title III, caused by an action which would be a crime if committed by an adult.

Note: Part B.3. of the Guidelines for State Courts provides criteria for determining whether a child-custody proceeding is covered by the ICWA.

I. Tribal court — A court that

1. has jurisdiction over child-custody proceedings; and
2. is
 - a court of Indian offenses,
 - a court established and operated under the code or custom of an Indian tribe, or
 - any other administrative body of a tribe that has authority over child-custody proceedings.

J. State court — Either

1. a district court that has jurisdiction over suits affecting the parent-child relationship, as defined in TFC, §11.01; or
2. a juvenile court that has jurisdiction over children in need of supervision (CHINS), as defined in TFC, §51.03.

K. Bureau of Indian Affairs — The Bureau of Indian Affairs (BIA) is part of the Department of the Interior. There are 12 BIA offices in the United States. The office to contact for court proceedings involving Indians in Texas is located in Anadarko, Oklahoma.

Every BIA office offers the following services:

1. assistance in locating a child's tribe and his biological parents or Indian custodian to prevent involuntary removal when
 - the child is involved in involuntary state-court action, or
 - the child's adoption is terminated (see 25 CFR 23.11(f) and 23.93);

2. arrangements for paying court-appointed attorney fees for indigent parents or Indian custodians when applicable requirements are met in involuntary state-court action (see 25 CFR 23.13);
3. assistance to the court, the child-placing agency, or any other party in identifying qualified expert witnesses for involuntary state-court action (see 25 CFR 23.91); and
4. assistance to the court, the child-placing agency, or any other party in identifying interpreters for an Indian child-custody proceeding (see 25 CFR 23.92).

The BIA's Division of Social Services in Washington, D.C., maintains a central file of all Indian adoptions.

L. Qualified expert witness — An expert who

- can give competent testimony, and
- is qualified to specifically address the question whether continued custody by the parents or Indian custodian is likely to result in serious physical or emotional damage to the child. (See Part D.4. of the Guidelines for State Courts.)

III. Services to the Indian Child and Family to Prevent Involuntary Removal

Under ICWA, §102(d), before seeking involuntary removal of a child, the child-placing agency must try to provide remedial services and rehabilitation programs designed to prevent the breakup of the child's family.

Under Part D.2 of the Guidelines for State Courts, the child-placing agency must demonstrate to the court that

- A. it has tried to provide remedial services and rehabilitation programs to prevent removal as specified above; and
- B. its efforts to do so
 - took into account the prevailing social and cultural conditions and way of life of the Indian child's tribe; and
 - involved and used the available resources of the extended family, the tribe, Indian social-service agencies, and Indian care givers.

IV. Removal of the Indian Child

Under ICWA, §101(a), a child-placing agency may only seek state-court jurisdiction when the Indian child is not the ward of a tribal court.

A. Involuntary Removal of an Indian Child by a Court

If the suit is not transferred to an Indian court, ICWA, §102(e) and (f), prohibits the court from ordering involuntary foster-care

placement or termination of parental rights of an Indian child unless the proceedings include both

1. the testimony of a qualified expert witness; and
2. a determination that the parents' or Indian custodian's continued custody of the child is likely to result in serious emotional or physical damage to the child.

B. Emergency Removal

Under ICWA, §112, Indian children may be protected by an emergency removal under TFC, Chapter 17.

Under Part B.7. of the Guidelines for State Courts, the petition for the emergency hearing under TFC, §§17.02 or 17.03, must be accompanied by an affidavit containing

1. the name, age, and last known address of the Indian child;
2. the names and addresses of the child's parents and Indian custodian, if any (If these persons are unknown, a detailed explanation must be given of the efforts made to locate them.);
3. the facts necessary to determine the residence and domicile of the Indian child (If the residence and domicile is on an Indian reservation, the name of the reservation must be stated.);
4. the tribal affiliation of the child and the child's parents or Indian custodian;
5. a specific and detailed account of the circumstances that led to emergency removal of the child;
6. if the child is believed to live on a reservation over which the tribe exercises exclusive jurisdiction in matters of child-custody, a statement of the efforts made to transfer the matter to the tribe's jurisdiction; and
7. a statement of the actions taken to provide services to the parents or to the Indian custodian to permit the child to be safely returned to the parents' or the custodian's custody.

Under ICWA, §112, the child-placing agency must ensure that the emergency placement ends as soon as it is no longer necessary to prevent imminent physical harm or danger to the child. However, this requirement does not apply if a court orders or the parents consent to continued placement.

C. Voluntary Placements (Temporary and Permanent)

Provisions for voluntary placements under the ICWA apply both to temporary and permanent voluntary placements made by child-placing agencies. Under ICWA, §103(a), the parents' or Indian custodian's consent to foster care placement or to termination of parental rights is not valid unless the following conditions are satisfied.

1. The child's parents or Indian custodian cannot consent to the placement or to the termination of parental rights until at least 10 days after the child's birth. No child-placing agency, therefore, may place an Indian child in foster care based on the parent's or custodian's consent until 10 days after the child's birth.
2. The parents' or Indian custodian's consent must be recorded before a district judge of a court of competent jurisdiction. The judge must certify in writing that
 - a. the terms and consequences of the consent were explained fully and in detail to the parent or Indian custodian; and
 - b. the parent or Indian custodian either
 - fully understood the explanation in English, or
 - fully understood it after it was interpreted or translated into a language the parent or custodian knows.

Affidavit of relinquishment. Under Part E.2 of the Guidelines for State courts, in addition to containing all of the information required in TFC, §15.03, an affidavit of relinquishment of parental rights must contain

1. the name of the Indian child's tribe; and
2. the identifying number or other indication of the child's membership in the tribe, if any.

Withdrawal of consent. Under ICWA, §103(b), a child's parent or Indian custodian has the right to withdraw consent to the child's foster-care placement at any time, except as noted below. If a parent or Indian custodian withdraws consent, the child-placing agency must return the child to the parent or Indian custodian immediately.

If a child-placing agency has managing conservatorship of a child or has validly evoked the provisions of TFC, Chapter 17, as a basis for the child's continued placement in foster care, the child's parent or Indian custodian cannot withdraw consent to the child's foster care placement.

Note: This citation (TFC Ch. 17) is out of date since the 1995 reorganization of the Texas Family Code. We can tell where some of these clauses went, but we couldn't find this one. Please request the correct citation from Legal staff. --H&RS

Withdrawal of an affidavit of relinquishment. Under ICWA, §103(c), an Indian parent may withdraw an affidavit of relinquishment of parental rights that designates a child-placing agency as managing conservator of the parent's child. The parent may withdraw the affidavit of relinquishment for any reason and at any time before the court's decree of termination. This provision may take precedence over Section 15.03 (d), Texas Family Code, which states that an affidavit of relinquishment designating DFPS as the child's managing conservator is irrevocable.

If an Indian parent withdraws an affidavit of relinquishment as specified above, the child-placing agency must return the child to the parent unless the child-placing agency obtains court-ordered managing conservatorship or validly evokes the provisions of TFC, Chapter 17, as a basis for the child's continued placement in foster care.

V. Choosing Placements for Indian Children

A. Preferred Placement Settings

Foster care placements. Under ICWA, §105(b), the child-placing agency must apply the following criteria when placing Indian children in foster care.

1. The placement must meet all the special needs of the child that the child-placing agency has identified.
2. The placement setting must be
 - a. reasonably close to the child's home, and
 - b. the least restrictive and most family-like setting available.
3. The following foster-care placement settings are preferred in the order listed unless there is good cause to the contrary (For definition of the term "good cause to the contrary," see item C below.):
 - a. a member of the child's extended family;
 - b. a foster home licensed, approved, or specified by the Indian child's tribe;
 - c. an Indian foster home licensed by DFPS or certified by a non-Indian, licensed, child-placing agency;
 - d. a child-caring institution approved by an Indian tribe or operated by an Indian organization which has a program to meet the Indian child's need.

Adoptive placements. Under ICWA, §106(b), the following adoptive placement settings are preferred for Indian children in the order listed unless there is good cause to the contrary:

1. a member of the child's extended family,
2. another member of the Indian child's tribe,
3. another Indian family.

Additional considerations. Under ICWA, §105(d), the child-placing agency determines what foster-care or adoptive placement is most appropriate for a particular Indian child based on the prevailing social and cultural standards of the Indian community in which the child's parents or extended family reside or with which they maintain social and cultural ties.

Under ICWA, §106(b), subsequent foster care and adoptive placements are made according to provisions of the ICWA unless the child is returned to the person from whom he was removed.

B. Good Cause to Modify Preferences

Under ICWA, §105(c), the following conditions constitute good causes to change the order or types of preference specified in item A above:

1. The Indian child's tribe establishes a different order of preference by resolution. The tribe's order of preference must be followed subject to one condition: In a foster care placement, the tribe's preferred placement setting must be the least restrictive setting available that meets the child's particular needs.
2. The Indian child or his parent has a different, but appropriate preference. The child-placing agency must take the child's and the parent's preferences into consideration.

Note: When a parent requests anonymity in a voluntary placement, the child-placing agency must apply the preferences specified above in a way that meets the parent's need for anonymity.

C. Good Cause to the Contrary

Under Part F.3(a) of the Guidelines for State Courts, good cause to the contrary is based on

1. the request of the biological parents or the older child (The guidelines do not specify the meaning of "older."),
2. the extraordinary physical or emotional needs of the child as established by testimony of a qualified expert witness, or
3. the unavailability of a suitable placement setting after the child-placing agency has searched for one that meets the preference criteria.

Under Part F.3(b) of the Guidelines for State Courts, the burden of establishing good cause to the contrary rests on the party that requests an exception to the order or types of preferences specified above.

D. Documentation of Consideration Given to Placement Choice

Under ICWA, §105(e), the child-placing agency must document each foster care or adoptive placement of an Indian child, and the efforts made to comply with the order and types of preference specified above. The child-placing agency must provide a record of these efforts to the Secretary of the Interior or to the Indian child's tribe on request.

VI. Agreements between Child-Placing Agencies and Indian Tribes

Under ICWA, §109, a child-placing agency may enter into agreements with an Indian tribe regarding the care and custody of Indian children and regarding the agency's and the tribe's respective jurisdictions in child-custody proceedings. Agreements about jurisdiction may include provisions for

- A. the orderly transfer of jurisdiction on a case-by-case basis; and
- B. concurrent jurisdiction of both the child-placing agency and the tribe.

Either party may revoke such an agreement upon 180 days written notice to the other party. The revocation cannot affect any action or proceeding over which a court has assumed jurisdiction, unless the agreement provides otherwise.

VII. Observing the Rights of Adult Indian Adoptees

A. State Court

Under ICWA, §107, if a court with jurisdiction receives a request from an Indian adoptee who is 18 or older, the court must inform the adoptee about:

1. the adoptee's tribal affiliation, if any;
2. the identity of the adoptee's biological parents; and
3. any other information necessary to protect the rights pertaining to the adoptee's tribal relationship.

If the court does not reveal the identity of the adoptee's biological parents, the adoptee may obtain help from the Bureau of Indian Affairs to secure the necessary information for enrollment of the adoptee in a tribe.

When presented with a valid court order, [DFPS's] Special Services Division provides information necessary for the adoptee's enrollment in a tribe, subject to the requirements regarding the charge and receipt of reasonable fees for determining and sending information specified in TFC, §11.17 (c).

B. Bureau of Indian Affairs (BIA)

Under ICWA, §301(b), and 25 CFR 23.81(b), the BIA must give the foster or adoptive parent or the tribe of an Indian adoptee who is 18 or older and eligible under the ICWA all information needed to enroll the adoptee in a tribe or to determine the adoptee's rights and benefits associated with tribal membership. If the adoptee's biological parent has filed an affidavit of confidentiality with the court and the affidavit has been forwarded to the Bureau of Indian Affairs, the BIA will not reveal the parent's name.

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Appendix 1226-B: Checklist for Compliance with the Indian Child Welfare Act

CPS 92-7

To ensure compliance with the Indian Child Welfare Act (ICWA) in any court action regarding an Indian Child, staff may refer to the following checklist.

Note: To determine whether a court action falls under the ICWA, see [Appendix 1226A](#), Child-Placing Requirements of the Indian Child Welfare Act and Related Guidelines and Regulations.

1. Does the petition for custody include the following elements?
 - the child's name, age, and address;
 - the parents' names and addresses;
 - a record of the diligent search for each missing parent, if any;
 - information needed to determine the child's residence (including the name and location of the reservation, if applicable);
 - the child's and the parents' tribal affiliation(s);
 - a record of the efforts made to transfer the proceeding to a tribal court;
 - the facts leading to the child's removal from his home; and
 - a specification of the reasonable efforts made to provide remedial and rehabilitative services to the parent(s) in order to prevent removal.
2. Has the court served notice of the suit on the parents and the Indian tribe? The notice must include
 - the child's name;

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- the child's date of birth;
 - the child's place of birth;
 - the names of the child's parents, the dates and places of their births, and the mother's maiden name;
 - a copy of the petition;
 - the name of the petitioner and his attorney;
 - a notice of the right to intervene;
 - a notice of the right to appointed counsel;
 - a notice of the right to request 20 additional days to answer or prepare for the suit;
 - a notice of the right to transfer the suit to tribal court;
 - the number or name, the mailing address, and the phone number of the court;
 - a notice of the potential consequences to the parents' rights; and
 - a notice of the confidential nature of the proceeding.
3. Have staff verified the child's status with the tribe? If the tribe is unknown, have staff sent notice of the suit by registered mail with return receipt requested to the following address?
- Anadarko Area Director,
Bureau of Indian Affairs,
P.O. Box 368,
Anadarko, Oklahoma 73005
4. Have all notices of the suit and all responses (if any) from the parents, the tribe, or the Bureau of Indian Affairs been filed with the court?
5. Have the parents hired an attorney? If not, has one been appointed for them?
6. Have staff made a diligent effort to find a suitable placement according to the orders of preference specified in [Appendix 1226A](#)?
7. If the orders of preference specified in [Appendix 1226A](#) have not been followed, has good cause to the contrary been shown as specified in the same appendix?
8. Have staff kept a written record of the placement decision in order to document their efforts to observe the orders of preference specified in [Appendix I/1226A](#)?
9. Have staff ensured that the Indian child is enrolled in his tribe before referring him for adoption?

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EXHIBIT 2

INDIAN CHILD WELFARE ACT CHECKLIST

EVERY CHILD AND FAMILY

- Assess possible Indian child status during initial investigation.
- Update information on possible Indian child status as additional family members are located and at regular intervals.

REASON TO BELIEVE OR CONFIRMED INDIAN CHILD STATUS

- Contact agency lawyer regarding possible ICWA case and consult regularly throughout case.
- If Indian child status known prior to removal, insert required ICWA information in affidavit.
- Verify any foster or adoptive placement follows statutory preferences unless tribe alters preferences or court finds good cause not to.
- Optional:* Send membership query letter to each identified tribe.
- Send *Notice of Pending Custody Proceeding Involving Indian Child* to:
 - each identified parent (Indian or non-Indian);
 - any Indian custodian;
 - each identified tribe;
 - Secretary of Interior; and
 - Bureau of Indian Affairs (Area Director).
- If any parent, custodian or tribe is unknown or can't be located send *Notice: Parent, Custodian or Tribe of Child Cannot be Identified or Located* to:
 - the Secretary of Interior; and
 - Bureau of Indian Affairs (Area Director).
- If there is no response to the formal notice from the tribe, follow up by telephone/fax.
- File notice(s) with proof of service with the court.
- Make active efforts to preserve Indian family. Confer with tribal social workers and use ICWA Resources Guide to identify appropriate services. Document services provided.
- If relinquishment is anticipated consult agency attorney regarding ICWA requirements for in court procedure.

Date: _____

Worker: _____

Supervisor: _____

The JS 44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. (SEE INSTRUCTIONS ON NEXT PAGE OF THIS FORM.)

I. (a) PLAINTIFFS

Chad Everet Brackeen; Jennifer Kay Brackeen; State of Texas

(b) County of Residence of First Listed Plaintiff Johnson County, Texas (EXCEPT IN U.S. PLAINTIFF CASES)

(c) Attorneys (Firm Name, Address, and Telephone Number) See Attachment

DEFENDANTS

Ryan Zinke, in his official capacity as Secretary of the United States Department of the Interior; Bryan Rice, in his official capacity as Director of the Bureau of Indian Affairs; Michael Black, in his official capacity as Acting Assistant Secretary for Indian Affairs; the Bureau of Indian Affairs; and the United States Department of the Interior County of Residence of First Listed Defendant

(IN U.S. PLAINTIFF CASES ONLY)

NOTE: IN LAND CONDEMNATION CASES, USE THE LOCATION OF THE TRACT OF LAND INVOLVED.

Attorneys (If Known) U.S. Department of Justice

II. BASIS OF JURISDICTION (Place an "X" in One Box Only)

- 1 U.S. Government Plaintiff
3 Federal Question (U.S. Government Not a Party)
2 U.S. Government Defendant
4 Diversity (Indicate Citizenship of Parties in Item III)

III. CITIZENSHIP OF PRINCIPAL PARTIES (Place an "X" in One Box for Plaintiff and One Box for Defendant)

Table with columns for Plaintiff (PTF) and Defendant (DEF) citizenship and business location. Includes categories like Citizen of This State, Citizen of Another State, and Foreign Nation.

IV. NATURE OF SUIT (Place an "X" in One Box Only)

Large table with columns: CONTRACT, REAL PROPERTY, CIVIL RIGHTS, TORTS, PRISONER PETITIONS, FORFEITURE/PENALTY, LABOR, IMMIGRATION, BANKRUPTCY, SOCIAL SECURITY, FEDERAL TAX SUITS, OTHER STATUTES. Includes various legal categories and codes.

V. ORIGIN (Place an "X" in One Box Only)

- 1 Original Proceeding
2 Removed from State Court
3 Remanded from Appellate Court
4 Reinstated or Reopened
5 Transferred from Another District (specify)
6 Multidistrict Litigation - Transfer
8 Multidistrict Litigation - Direct File

VI. CAUSE OF ACTION

Cite the U.S. Civil Statute under which you are filing (Do not cite jurisdictional statutes unless diversity): 5 U.S.C. §§ 702 et seq.
Brief description of cause: APA and constitutional challenge against 25 U.S.C. § 1911-1923 ("Indian Child Welfare Act"); 81 Fed. Reg. 38,778 ("Indian Child Welfare Act Proceedings")

VII. REQUESTED IN COMPLAINT:

CHECK IF THIS IS A CLASS ACTION UNDER RULE 23, F.R.Cv.P. DEMAND \$ CHECK YES only if demanded in complaint: JURY DEMAND: Yes No

VIII. RELATED CASE(S) IF ANY

(See instructions): JUDGE DOCKET NUMBER

DATE 10/25/2017 SIGNATURE OF ATTORNEY OF RECORD s/ Rebekah Perry Ricketts

FOR OFFICE USE ONLY

RECEIPT # AMOUNT APPLYING IFP JUDGE MAG. JUDGE

Case 4:17-cv-00868-O Document 1-3 Filed 10/25/17 Page 2 of 3 PageID 84
INSTRUCTIONS FOR ATTORNEYS COMPLETING CIVIL COVER SHEET FORM JS 44

Authority For Civil Cover Sheet

The JS 44 civil cover sheet and the information contained herein neither replaces nor supplements the filings and service of pleading or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. Consequently, a civil cover sheet is submitted to the Clerk of Court for each civil complaint filed. The attorney filing a case should complete the form as follows:

- I.(a) Plaintiffs-Defendants.** Enter names (last, first, middle initial) of plaintiff and defendant. If the plaintiff or defendant is a government agency, use only the full name or standard abbreviations. If the plaintiff or defendant is an official within a government agency, identify first the agency and then the official, giving both name and title.
- (b) County of Residence.** For each civil case filed, except U.S. plaintiff cases, enter the name of the county where the first listed plaintiff resides at the time of filing. In U.S. plaintiff cases, enter the name of the county in which the first listed defendant resides at the time of filing. (NOTE: In land condemnation cases, the county of residence of the "defendant" is the location of the tract of land involved.)
- (c) Attorneys.** Enter the firm name, address, telephone number, and attorney of record. If there are several attorneys, list them on an attachment, noting in this section "(see attachment)".
- II. Jurisdiction.** The basis of jurisdiction is set forth under Rule 8(a), F.R.Cv.P., which requires that jurisdictions be shown in pleadings. Place an "X" in one of the boxes. If there is more than one basis of jurisdiction, precedence is given in the order shown below.
 United States plaintiff. (1) Jurisdiction based on 28 U.S.C. 1345 and 1348. Suits by agencies and officers of the United States are included here.
 United States defendant. (2) When the plaintiff is suing the United States, its officers or agencies, place an "X" in this box.
 Federal question. (3) This refers to suits under 28 U.S.C. 1331, where jurisdiction arises under the Constitution of the United States, an amendment to the Constitution, an act of Congress or a treaty of the United States. In cases where the U.S. is a party, the U.S. plaintiff or defendant code takes precedence, and box 1 or 2 should be marked.
 Diversity of citizenship. (4) This refers to suits under 28 U.S.C. 1332, where parties are citizens of different states. When Box 4 is checked, the citizenship of the different parties must be checked. (See Section III below; **NOTE: federal question actions take precedence over diversity cases.**)
- III. Residence (citizenship) of Principal Parties.** This section of the JS 44 is to be completed if diversity of citizenship was indicated above. Mark this section for each principal party.
- IV. Nature of Suit.** Place an "X" in the appropriate box. If there are multiple nature of suit codes associated with the case, pick the nature of suit code that is most applicable. Click here for: [Nature of Suit Code Descriptions](#).
- V. Origin.** Place an "X" in one of the seven boxes.
 Original Proceedings. (1) Cases which originate in the United States district courts.
 Removed from State Court. (2) Proceedings initiated in state courts may be removed to the district courts under Title 28 U.S.C., Section 1441. When the petition for removal is granted, check this box.
 Remanded from Appellate Court. (3) Check this box for cases remanded to the district court for further action. Use the date of remand as the filing date.
 Reinstated or Reopened. (4) Check this box for cases reinstated or reopened in the district court. Use the reopening date as the filing date.
 Transferred from Another District. (5) For cases transferred under Title 28 U.S.C. Section 1404(a). Do not use this for within district transfers or multidistrict litigation transfers.
 Multidistrict Litigation – Transfer. (6) Check this box when a multidistrict case is transferred into the district under authority of Title 28 U.S.C. Section 1407.
 Multidistrict Litigation – Direct File. (8) Check this box when a multidistrict case is filed in the same district as the Master MDL docket.
PLEASE NOTE THAT THERE IS NOT AN ORIGIN CODE 7. Origin Code 7 was used for historical records and is no longer relevant due to changes in statute.
- VI. Cause of Action.** Report the civil statute directly related to the cause of action and give a brief description of the cause. **Do not cite jurisdictional statutes unless diversity.** Example: U.S. Civil Statute: 47 USC 553 Brief Description: Unauthorized reception of cable service
- VII. Requested in Complaint.** Class Action. Place an "X" in this box if you are filing a class action under Rule 23, F.R.Cv.P.
 Demand. In this space enter the actual dollar amount being demanded or indicate other demand, such as a preliminary injunction.
 Jury Demand. Check the appropriate box to indicate whether or not a jury is being demanded.
- VIII. Related Cases.** This section of the JS 44 is used to reference related pending cases, if any. If a related case exists, whether pending or closed, insert the docket numbers and the corresponding judge names for such cases. A case is related to this filing if the case: 1) involves some or all of the same parties and is based on the same or similar claim; 2) involves the same property, transaction, or event; 3) involves substantially similar issues of law and fact; and/or 4) involves the same estate in a bankruptcy appeal.

Date and Attorney Signature. Date and sign the civil cover sheet.

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