

November 02, 2018

Issuance of a Revised Proposal and Award Policies and Procedures Guide (NSF 19-1)

Dear Colleagues:

We are pleased to announce that a revised version of the NSF <u>Proposal & Award Policies & Procedures Guide</u> (PAPPG), (NSF 19-1) has been issued.

The new PAPPG will be effective for **proposals submitted**, **or due**, **on or after January 28**, **2019**. Significant changes include:

Addition of Research.gov as an option for proposal preparation and submission, and proposal file updates;

- Revision of eligibility standards for unaffiliated individuals;
- Specification that conference proposals over \$50,000 and all equipment proposals must include the Collaborators and Other Affiliations information in the proposal submission;
- Revision of resubmission guidelines for NSF programs that accept proposals at any time;
- Implementation of NSF's policy on sexual harassment and other forms of harassment, or sexual assault;
- Specification that proposers are required to have a policy or code-of-conduct that addresses sexual harassment, other forms of harassment, and sexual assault, and that includes clear and accessible means of reporting violations of the policy or code-of-conduct. This policy or code-of-conduct must be disseminated to conference participants prior to attendance at the conference as well as made available at the conference itself;
- Emphasis on the importance of training faculty in the responsible and ethical conduct of research;
- Incorporation of existing patent policy into the PAPPG. This policy was previously implemented by regulation at 45 CFR 650; and
- Numerous clarifications and other changes throughout the document.

You are encouraged to review the by-chapter summary of changes provided in the Introduction section of the PAPPG.

A webinar to brief the community on the changes to the new PAPPG will be held on **November 27 at 2 PM EST**. Sign up to be notified when registration is available on the <u>outreach notifications website</u>, by selecting "All NSF Grants and Policy Outreach Events & Notifications."

While this version of the PAPPG becomes effective on January 28, 2019, in the interim, the guidelines contained in the current PAPPG (NSF 18-1) continue to apply. We will ensure that the current version of the PAPPG remains on the NSF website, with a notation to proposers that specifies when the new PAPPG (including a link to the new Guide) will become effective.

If you have any questions regarding these changes, please contact the DIAS/Policy Office at policy@nsf.gov.

Regards,

Jean Feldman Head, Policy Office Division of Institution and Award Support Office of Budget, Finance & Award Management

Currently on detail as:

Lead for EC (European Commission) Framework Programme Engagement

Office of International Science and Engineering

National Science Foundation

Voice: (703) 292-4573 Email: jfeldman@nsf.gov



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